Centers for Disease Control and Prevention

Coronavirus Disease 2019 (COVID-19)

Interim Operational Considerations for Public Health Management of Healthcare Workers Exposed to or Infected with COVID-19: non-US Healthcare Settings

The Centers for Disease Control and Prevention (CDC) is working closely with international partners to respond to the coronavirus (COVID-19) pandemic. CDC provides technical assistance to help other countries increase their ability to prevent, detect, and respond to health threats, including COVID-19.

This document is provided by CDC and is intended for use in non-US healthcare settings.

1. Background

While new discoveries continue to be made about COVID-19, early reports indicate that person-to-person transmission most often occurs during close contact with an individual infected with COVID-19. Healthcare workers (HCWs) are not only at higher risk of infection but can also amplify outbreaks within healthcare facilities if they become ill. Identifying and managing HCWs who have been exposed to a patient with COVID-19 is of great importance in preventing healthcare transmission and protecting staff and vulnerable patients in healthcare settings.

2. Target Audience

These operational considerations are intended to be used by healthcare facilities and public health authorities in non-US healthcare settings, particularly focusing on low- and middle-income countries, assisting with the management of HCWs exposed to a person with confirmed or suspected COVID-19.

This includes but is not limited to:

- Healthcare facility leadership
- Infection prevention and control (IPC)
- Occupational health and worker safety
- Public health staff at the national and sub-national level

3. Objectives

The goal of HCW risk assessment, work restriction, and monitoring is to:

- Allow for early identification of HCWs at high risk of exposure to COVID-19
- Reinforce the need for HCWs to self-monitor for fever and other symptoms and avoid work when ill
- Limit introduction of COVID-19 and spread within healthcare facilities by healthcare personnel

This document is only intended to advise on the management of HCWs regarding their work within healthcare facilities. Guidance on management of exposed HCWs outside of healthcare facilities (e.g., quarantine, travel-restriction) is beyond the scope of this document. Recommendations are made based on currently available data and subject to change based on newly available information.

4. Definitions

Healthcare worker – all paid and unpaid persons serving in healthcare settings who have the potential for direct or indirect exposure to patients or their infectious secretions and materials (e.g., doctors, nurses, laboratory workers, facility or maintenance workers, clinical trainees, volunteers).

High risk exposure –

- Close contact with a person with COVID-19 in the community[1]; OR
- Providing direct patient care for a patient with COVID-19 (e.g., physical exam, nursing care, performing aerosol-generating procedures, specimen collection, radiologic testing), without using proper personal protective equipment (PPE)[2] or not performing appropriate hand hygiene after these interactions; OR
- Having contact with the infectious secretions from a patient with COVID-19 or contaminated patient care environment, without using proper personal protective equipment (PPE) or not performing appropriate hand hygiene

Low risk exposure – contact with a person with COVID-19 having not met criteria for high-risk exposure.

Active monitoring – healthcare facility or public health authority establishes a minimum of daily communication with exposed HCWs to assess for the presence of fever or symptoms consistent with COVID-19[3]. Monitoring could involve in-person temperature and symptom checks before starting a shift, or remote contact (e.g., telephone or electronic-based communication).

Self-monitoring – HCWs monitor themselves for fever by taking their temperature twice a day and remaining alert for respiratory and other symptoms that may be compatible with COVID-19. HCWs should be provided a plan for whom to contact if they develop fever or even mild symptoms during the self-monitoring period to determine whether medical evaluation and testing is needed.

5. Considerations when Managing HCWs Exposed to Individuals with COVID-19

Healthcare facilities may choose to manage exposed HCWs in a variety of ways and may consider multiple factors when deciding on a management strategy for exposed HCWs, including:

- Epidemiology of COVID-19 in the surrounding community
- Ability to maintain staffing levels to provide adequate care to all patients in the facility
- Availability of IPC, employee/occupational health, or other chosen personnel to carry out HCW risk assessment and monitoring activities
- Access to resources that can limit the burden of HCW active monitoring (e.g., electronic tools)

All healthcare facilities should have an established communication plan for notifying appropriate public health authorities of any HCW who requires testing for COVID-19 during the monitoring period. Staff should be aware of the established procedures for HCWs who have been exposed to a person with COVID-19 and facilities should develop paid sick leave policies and contract extensions that support the ability for staff to avoid work when ill.

Risk Assessment, Work Restriction, and Monitoring

The accompanying flowchart [see Figure] describes possible scenarios for risk assessment of exposed HCWs. Any HCW exposed to a person with COVID-19 in a healthcare facility or in the community should be quickly identified and assessed for fever or symptoms of COVID-19. If found to be symptomatic, they should be immediately restricted from work until a medical evaluation can be completed and testing for COVID-19 considered. If the exposed worker is not symptomatic, an assessment can be done to determine the risk category of exposure, necessary work restriction, and monitoring for 14 days [see Appendix 1].

Ideally, HCWs who had a ***high-risk exposure*** should be restricted from work and remain quarantined with active monitoring for COVID-19 symptoms for 14 days after the date of last exposure. If at any time the worker develops fever or symptoms, they should undergo medical evaluation and COVID-19 testing, if indicated. Those who test negative should continue to be restricted from work, actively monitored and may return to work at the end of the monitoring period if symptoms are

resolved. Those HCWs who remain asymptomatic over the monitoring period may likewise return to work after 14 days. See below Considerations When Resources are Limited for alternative strategies if staffing shortages prevent the ability to restrict HCWs from work.

HCWs who had a ***low-risk exposure*** and are considered essential staff may continue to work during the 14 days after their last exposure to a COVID-19 patient. These HCWs should preferably be assigned to COVID-19 patient care and should perform self-monitoring twice a day. If the worker is scheduled for a shift, they should take their temperature and self-evaluate for symptoms before reporting to work. Healthcare facilities can consider establishing protocols in which HCWs under self-monitoring report their temperature and symptom status to IPC staff, employee/occupational health, or a designated supervisor prior to beginning a shift. If the HCW develops fever or symptoms, they should:

- Not report to work (or should immediately stop patient care if symptoms begin during a work shift)
- Alert their designated point of contact (POC)
- Be restricted from work until medical evaluation and COVID-19 testing can be performed

If testing is negative and symptoms are resolved, they may return to work while observing standard precautions and continuing to self-monitor for the remainder of the 14 days. Some facilities have instructed any exposed staff that continue working during the 14 days post-exposure (e.g., asymptomatic low-risk exposure or staff who had symptoms, tested negative and return to work within the exposure period) to wear a medical mask at all times in the facility to reduce the risk of asymptomatic or pre-symptomatic transmission.

Any HCW who tests positive for COVID-19, either in the course of monitoring after an exposure or otherwise, should be immediately restricted from work and public health notified for further case management.

Considerations When Resources are Limited

There may be situations in which healthcare facilities are unable to perform contact tracing of all HCWs exposed to a known patient with COVID-19 or unable to carry out an individual risk assessment for all exposed HCWs. Some of these scenarios include:

- **Inability to perform contact tracing**
  Healthcare delivery and traffic flow in a healthcare facility can be dynamic, and documentation of staffing assignments may not be routine practice. This has made it challenging for some healthcare facilities to identify all HCWs who had contact with a case. In situations where identifying all exposed HCWs is not possible, facilities have sent a general communication to all facility staff informing them of:
  - Exposure risk
  - Associated facility location(s)
  - Date(s) and time(s) for potential exposure
  - Instructions for staff to self-identify any known exposures to a POC so that risk assessment and public health recommendations can be made
  - Instructions for staff to self-monitor for fever or respiratory symptoms for a chosen period of time and notify the POC if they become ill
- **Inability to perform individual HCW risk assessments**
  If many HCWs were exposed to a case or there are limited IPC, employee/occupational health, or public health staff available to assist with public health management, some facilities have found it impractical or impossible to perform individual risk assessments on all exposed HCWs. Efforts have instead focused on identifying staff at highest risk of exposure to COVID-19, including those who were exposed in the setting of an aerosol-generating procedure without the use of appropriate PPE, since this would pose the highest risk of transmission to the HCW. These staff have been designated as potential high-risk exposures, with the remaining exposed staff as potentially exposed. Facilities and public health authorities then determined whether they will manage these staff as low-risk or high-risk while weighing the risks and benefits of each strategy (e.g., available resources, ability to work restrict HCWs, etc.).

- **Staff shortages that limit the ability to work restrict**
  Imposing work restrictions for exposed HCWs may result in staff shortages and potential detriment to patient care for facilities that frequently function over patient capacity or small facilities that maintain only essential staff. In this case, facilities should still perform contact tracing and risk assessment, if possible, with recommended active or self-monitoring depending on the exposure risk level. To avoid critical staffing shortages, some facilities have allowed

monitoring depending on the exposure risk level. To avoid critical staffing shortages, some facilities have allowed asymptomatic exposed staff, including those with high-risk exposures, to continue working while wearing a medical mask to reduce the risk of asymptomatic or pre-symptomatic transmission. However, PPE shortages may make this strategy infeasible in many facilities.

- **Widespread community transmission**
  In the setting of community transmission, all HCWs are at some risk for exposure to COVID-19, whether in the workplace or in the community. Devoting resources to contact tracing and retrospective risk assessment could divert valuable public health resources away from other important IPC activities. Facilities have instead focused efforts on strengthening routine IPC practices, including:
  - Reinforce the need for standard precautions for all patient encounters
  - Stress the importance of hand hygiene, cough etiquette, and respiratory hygiene
  - Enforce social distancing between HCWs and patients when not involved in direct patient care
  - Instruct all HCWs at the facility to report recognized exposures
  - Have staff regularly self-monitor for fever and symptoms
  - Remind staff to avoid reporting to work when ill
  - When resources are available, instruct staff to wear a medical mask at all times when in the facility as an additional protective measure to limit potential spread among staff and to patients
    When able, facilities have developed a plan for all HCWs to report absence of fever and symptoms to a chosen POC before starting work each day for accountability purposes.

Limited Testing Availability

When overall testing capacity has been limited and must be rationed, facilities and public health authorities have prioritized symptomatic HCWs for testing over low-risk groups in the community (e.g., young healthy individuals). If no testing is available, for the purposes of returning to work, these HCWs have been managed as if potentially infected with COVID-19 and can return to work based on the *non-test-based strategy* described below.

6. Management Considerations of HCWs Infected with COVID-19

Return to work

HCWs infected with COVID-19 may return to work after applying one of the two below strategies:

1. *Test-based strategy*. Exclude from work until:
   - Resolution of fever without the use of fever-reducing medications, **and**
   - Improvement in respiratory symptoms (e.g., cough, shortness of breath), **and**
   - Negative results of COVID-19 testing from at least two consecutive swab specimens collected ≥24 hours apart.[5]
2. *Non-test-based strategy*. Exclude from work until:
   - All symptoms have resolved, OR
   - 14 days have passed since onset of symptoms

After returning to work, HCWs should continue to adhere to hand hygiene, respiratory hygiene, and cough etiquette at all times, and continue to self-monitor for symptoms, seeking medical evaluation if fever or respiratory symptoms recur.

If testing was completed and positive on an asymptomatic HCW, facilities have allowed staff to return to work when repeat testing is negative or, alternatively, no earlier than ten days after the last known positive test, assuming no symptoms develop over that period of time. HCWs who were a suspect COVID-19 case but could not be tested or declined testing should be managed according to the *non-test-based-strategy*.

Considerations for the Return to Work Strategy

Facilities have considered local testing availability and the facility's ability to maintain staffing levels when deciding on which testing strategy to apply, and those factors may change over time.

If testing is limited or must be rationed, facilities have used the non-test based strategy to determine return to work, in order to conserve testing for diagnosis of persons suspected of having COVID-19, but it can be considered for HCWs who have prolonged symptoms or have underlying medical conditions that could prolong viral shedding.

While not recommended, in situations of critical staffing shortages some facilities have conferred with the local public health authorities and allowed COVID-19 infected HCWs to return to work earlier than indicated in the above strategies . This has been determined on a case-by-case basis, and facilities have considered duty restrictions, such as only permitting infected HCWs to care for COVID-19 patients or limiting them to non-patient care activities.

Figure: Flowchart for management of HCWs with exposure to a person with COVID-19

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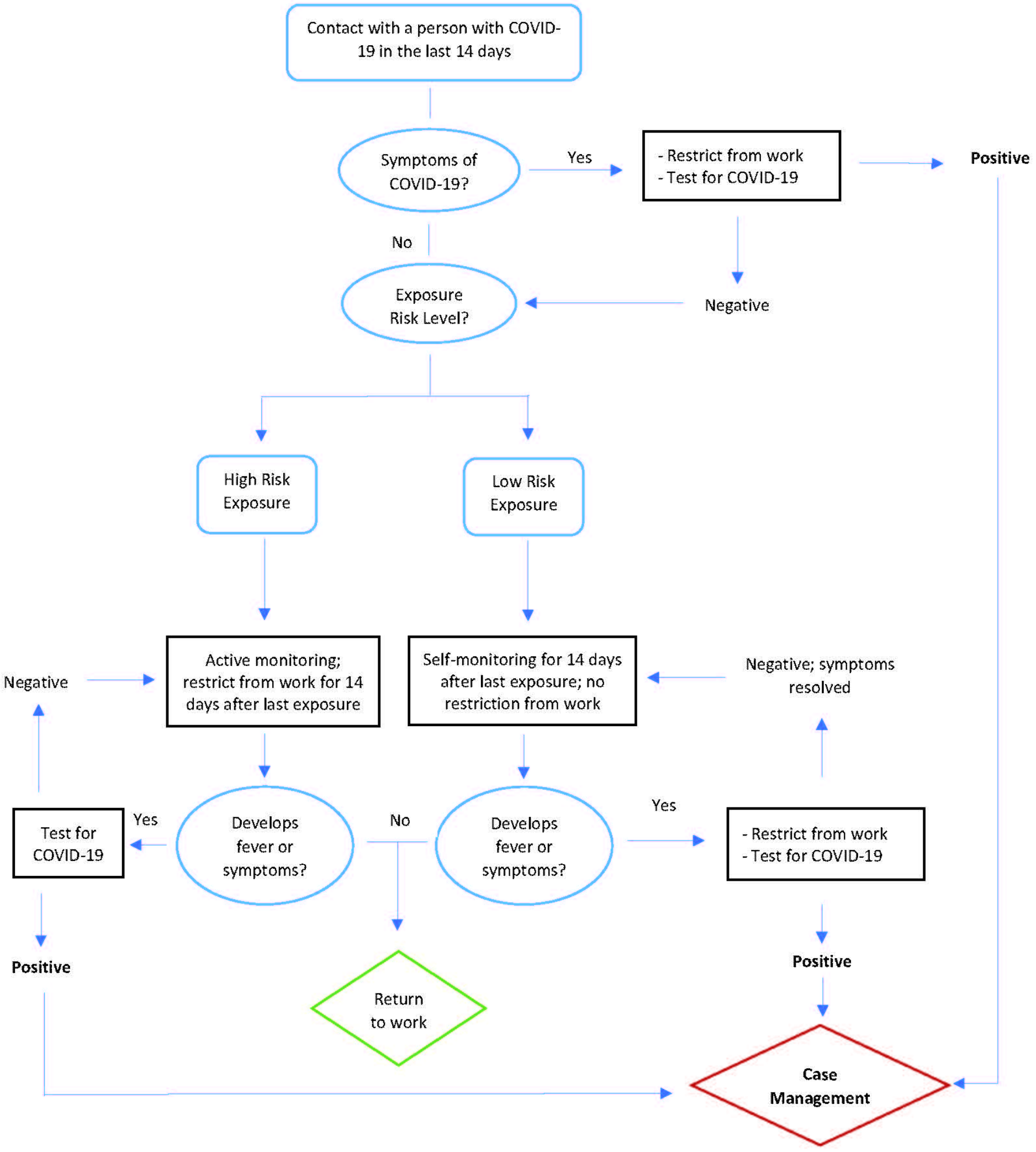

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7. Additional References

- Centers for Disease Control and Prevention (CDC). Interim U.S. Guidance for Risk Assessment and Public Health Management of Healthcare Personnel with Potential Exposure in a Healthcare Setting to Patients with Coronavirus

Disease (COVID-19).

- Centers for Disease Control and Prevention (CDC). Interim US Guidance for Risk Assessment and Public Health Management of Persons with Potential Coronavirus Disease 2019 (COVID-19) Exposures: Geographic Risk and Contacts of Laboratory-confirmed Cases.
- European Centre for Disease Prevention and Control (ECDC). Public health management of persons, including health care workers, having had contact with COVID-19 cases in the European Union – first update .
- World Health Organization (WHO). Global Surveillance for COVID-19 disease caused by human infection with the 2019 novel coronavirus – Interim guidance .
- World Health Organization (WHO). Health workers exposure risk assessment and management in the context of COVID-19 virus .
- World Health Organization (WHO). Rational use of personal protective equipment for coronavirus disease 2019 (COVID-19) – Interim guidance .

Footnotes

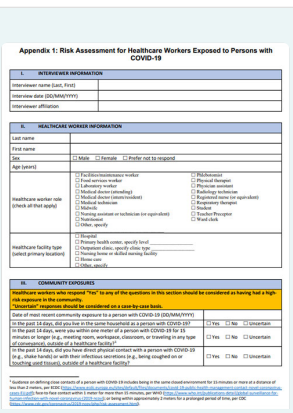
[1]Living in the same household as a person with COVID-19; having direct physical contact with a person with COVID-19 (e.g., shaking hands) or with their infectious secretions (e.g., being coughed on or touching used tissues without gloves); being within 1 meter for 15 minutes or longer with a person with COVID-19 (e.g., meeting room, workspace, classroom, or traveling in a conveyance), per WHO guidance: https://www.who.int/publications-detail/global-surveillance-for-human-infection-with-novel-coronavirus-(2019-ncov)

[2]Appropriate PPE as defined by World Health Organization (WHO) *Infection prevention and control during health care when novel coronavirus (nCoV) infection is suspected* .

[3]Subjective or measured fever, cough, or shortness of breath. HCW should also monitor for mild or atypical symptoms that have been reported in association with COVID-19, including sore throat, runny nose, fatigue, muscle aches, and gastrointestinal symptoms. For mild or atypical symptoms, medical evaluation and testing should be considered on a case-by-case basis. If index of suspicion is low, can consider continued symptomatic monitoring and reserve testing for new or worsening symptoms.

[4]Aerosol-generating procedures include, but are not limited to: tracheal intubation, non-invasive ventilation, tracheotomy, cardiopulmonary resuscitation, manual ventilation before intubation, bronchoscopy

[5] Could consider a less intensive negative test result requirement (e.g., single negative result) depending on local factors, such as availability of testing supplies.


Appendix 1: Risk Assessment for Healthcare Workers Exposed to Persons with COVID-19

I. INTERVIEWER INFORMATION

Interviewer name (Last, First)	
Interview date (DD/MM/YYYY)	
Interviewer affiliation	

II. HEALTHCARE WORKER INFORMATION

Last name	
First name	
Sex	☐ Male ☐ Female ☐ Prefer not to respond
Age (years)	
Healthcare worker role (check all that apply)	☐ Facilities/maintenance worker ☐ Food services worker ☐ Laboratorian ☐ Medical doctor (attending) ☐ Medical doctor (emergency/resident) ☐ Medical technician ☐ Midwife ☐ Nursing assistant or technician (or equivalent) ☐ Nurse ☐ Other, specify _____ ☐ Phlebotomist ☐ Physical therapist ☐ Pharmacy assistant ☐ Radiology technician ☐ Registered nurse (or equivalent) ☐ Respiratory therapist ☐ Student ☐ Teacher/Preceptor ☐ Ward clerk
Healthcare facility type (select primary location)	☐ Hospital ☐ Primary health center, specify level _____ ☐ Outpatient clinic, specify clinic type _____ ☐ Nursing home or skilled nursing facility ☐ Home care ☐ Other, specify _____

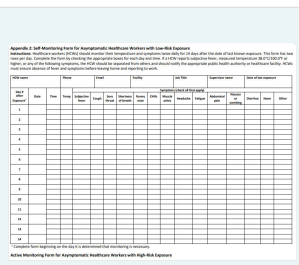
III. COMMUNITY EXPOSURES

Healthcare workers who respond "Yes" to any of the questions in this section should be considered as having had a high-risk exposure in the community.
"Uncertain" responses should be considered on a case-by-case basis.

Date of most recent community exposure to a person with COVID-19 (DD/MM/YYYY)	
In the past 14 days, did you live in the same household as a person with COVID-19?	☐ Yes ☐ No ☐ Uncertain
In the past 14 days, were you within one meter of a person with COVID-19 for 15 minutes or longer (e.g., meeting room, workspace, classroom, or traveling in any type of conveyance), outside of a healthcare facility?[1]	☐ Yes ☐ No ☐ Uncertain
In the past 14 days, did you have direct physical contact with a person with COVID-19 (e.g., shake hands) or with their infectious secretions (e.g., being coughed on or touching used tissues), outside of a healthcare facility?	☐ Yes ☐ No ☐ Uncertain

[1] Guidance on defining close contacts of a person with COVID-19 includes being in the same closed environment for 10 minutes or more at a distance of less than 2 meters, per ECDC (https://www.ecdc.europa.eu/sites/default/files/documents/covid-19-public-health-management-contact-novel-coronavirus-cases-31Jan20.pdf); face-to-face contact within 1 meter for more than 15 minutes, per WHO (https://www.who.int/publications-detail/global-surveillance-for-human-infection-with-novel-coronavirus-(2019-ncov)); or being within approximately 2 meters for a prolonged period of time, per CDC (https://www.cdc.gov/coronavirus/2019-ncov/php/risk-assessment.html).

Appendix 1: Risk Assessment for Healthcare Workers Exposed to Persons with COVID-19
PDF [3 pages] | Word [3 pages]


Appendix 2: Self-Monitoring Form for Asymptomatic Healthcare Workers with Low-Risk Exposure

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HCW name		Phone		Email		Facility	Job title	Supervisor name	Date of last exposure

Day # (Date of Exposure)	Date	Time	Temp	Subjective fever	Cough*	Sore throat	Shortness of breath*	Runny nose	Chills	Symptoms - check all if a sym. Headache	Fatigue	Abdominal pain	Nausea or vomiting	Diarrhea	Home	Other
1																
2																
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* Complete form beginning on the day it is determined that monitoring is necessary.

Active Monitoring Form for Asymptomatic Healthcare Workers with High-Risk Exposure

Appendix 2: Self-Monitoring Form for Asymptomatic Healthcare Workers with Low-Risk Exposure
PDF [2 pages] | Word [2 pages]

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