

# CRS Report for Congress

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## Civilian Nuclear Waste Disposal

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# Civilian Nuclear Waste Disposal

## Summary

Management of civilian radioactive waste has posed difficult issues for Congress since the beginning of the nuclear power industry in the 1950s. Federal policy is based on the premise that nuclear waste can be disposed of safely, but proposed storage and disposal facilities have frequently been challenged on safety, health, and environmental grounds. Although civilian radioactive waste encompasses a wide range of materials, most of the current debate focuses on highly radioactive spent fuel from nuclear power plants.

The Nuclear Waste Policy Act of 1982 (NWPAA) calls for disposal of spent nuclear fuel in a deep geologic repository. NWPAA established an office in the Department of Energy (DOE) to develop such a repository and required the program's civilian costs to be covered by a fee on nuclear-generated electricity, paid into the Nuclear Waste Fund. Amendments to NWPAA in 1987 restricted DOE's repository site studies to Yucca Mountain in Nevada. DOE is studying numerous scientific issues at Yucca Mountain in preparing a license application to the Nuclear Regulatory Commission (NRC) for the planned repository. Questions about the site include the likelihood of earthquakes, volcanoes, water infiltration, and human intrusion.

NWPAA's goal for starting to load waste into the repository was 1998, but DOE now does not expect to open the facility until sometime after 2012. A resolution to allow licensing of the site to proceed, despite a "veto" by the Governor of Nevada, was signed by President Bush on July 23, 2002 (P.L. 107-200).

The Administration is requesting \$544.5 million for the civilian nuclear waste program in FY2007, \$50 million above the FY2006 level. The House-passed FY2007 Energy and Water Development Appropriations Bill (H.R. 5427, H.Rept. 109-474) would provide \$574.5 million for the program, with the additional \$30 million to be used for interim waste storage.

DOE announced on October 25, 2005, that it would require most spent fuel to be sealed in standardized canisters before shipment to Yucca Mountain, a change that would largely eliminate the handling of individual fuel assemblies at the site. DOE subsequently informed NRC that making those changes in the repository's operational plans would further delay submission of the Yucca Mountain license application, for which there is currently no announced schedule.

The Administration proposed legislation on April 5, 2006, to repeal the statutory cap on the amount of waste at Yucca Mountain, reduce the scope of environmental reviews for the repository, change budget procedures so that program funding could be increased more easily, exempt nuclear waste sent to Yucca Mountain from disposal requirements under the Resource Conservation and Recovery Act, and allow preemption of state and local transportation requirements.

This report replaces CRS Issue Brief IB92059, *Civilian Nuclear Waste Disposal*, by Mark Holt.

## Contents

Most Recent Developments .....	1
Introduction .....	2
Other Programs .....	3
Nuclear Utility Lawsuits .....	3
Nuclear Spent Fuel Legislation .....	4
Characteristics of Nuclear Waste .....	5
Spent Nuclear Fuel .....	6
Commercial Low-Level Waste .....	7
Current Policy and Regulation .....	8
Spent Nuclear Fuel .....	8
Current Program .....	8
Waste Facility Schedules .....	8
Private Interim Storage .....	11
Regulatory Requirements .....	11
Alternative Technologies .....	13
Funding .....	14
Low-Level Radioactive Waste .....	15
Current Policy .....	15
Regulatory Requirements .....	16
Concluding Discussion .....	17
109 <sup>th</sup> Congress Legislation .....	18
Congressional Hearings, Reports, and Documents .....	19
For Additional Reading .....	19

## List of Tables

Table 1. DOE Civilian Spent Fuel Management Funding .....	14
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# Civilian Nuclear Waste Disposal

## Most Recent Developments

President Bush's FY2007 budget request, submitted to Congress on February 6, would provide \$544.5 million for the Department of Energy (DOE) civilian nuclear waste program, \$50 million above the FY2006 level. The House on May 24 approved \$574.5 million for the program in its version of the FY2007 Energy and Water Development Appropriations Bill (H.R. 5427, H.Rept. 109-474), with the additional \$30 million to be used for interim waste storage if authorizing legislation is enacted. The DOE nuclear waste program is developing an underground repository at Yucca Mountain, Nevada, but the project's schedule is currently uncertain.

The Administration is also requesting \$250 million for spent nuclear fuel recycling research (under the DOE nuclear energy research and development program) as part of a new Global Nuclear Energy Partnership (GNEP). The House-passed Energy and Water appropriations measure would cut the recycling research request to \$120 million — still a 50% increase over the FY2006 level.

The Administration proposed draft legislation on April 5 to repeal the 70,000 metric ton limit on the amount of waste that can be emplaced at Yucca Mountain, a limit that is expected to be exceeded by currently operating reactors during their lifetimes. The bill also would reduce the scope of environmental reviews for the repository, change the budget scoring of waste fee receipts so that program funding could be increased more easily, exempt nuclear waste sent to Yucca Mountain from disposal requirements under the Resource Conservation and Recovery Act, and allow preemption of state and local transportation requirements. To remove a potential obstacle to new nuclear power plants posed by Yucca Mountain delays, the bill would require the Nuclear Regulatory Commission (NRC) to assume that sufficient disposal capacity will be available for waste produced by new reactors. Notably excluded from the bill (H.R. 5360, S. 2589, introduced by Representative Barton and Senator Domenici by request) is an authorization for interim federal storage of nuclear waste.

NRC on February 21 issued a license for a private interim waste storage facility in Utah being developed by a nuclear utility consortium called Private Fuel Storage (PFS). However, PFS still needs approval from the Bureau of Land Management to widen a highway or build a railroad to the site. The above-ground PFS facility is intended to store up to 4,000 casks of spent nuclear fuel awaiting planned eventual disposal at Yucca Mountain.

## Introduction

Nuclear waste has sometimes been called the Achilles' heel of the nuclear power industry; much of the controversy over nuclear power centers on the lack of a disposal system for the highly radioactive spent fuel that must be regularly removed from operating reactors.

Under the Nuclear Waste Policy Act of 1982 (NWPA) and 1987 amendments, the Department of Energy (DOE) is focusing on Yucca Mountain, Nevada, to house a deep underground repository for spent nuclear fuel and other highly radioactive waste. The state of Nevada has strongly opposed DOE's efforts on the grounds that the site is unsafe, pointing to potential volcanic activity, earthquakes, water infiltration, underground flooding, nuclear chain reactions, and fossil fuel and mineral deposits that might encourage future human intrusion.

Despite those concerns, DOE contends that the evidence so far indicates that Yucca Mountain is likely to prove suitable and that licensing of the site by the Nuclear Regulatory Commission (NRC) should proceed. A Draft Environmental Impact Statement (EIS) completed by DOE in July 1999 and finalized in February 2002 recommended that the project proceed as planned. However, DOE now does not expect the planned Yucca Mountain repository to open until after 2012, more than 14 years later than the 1998 goal specified by NWPA. DOE currently has no announced schedule for submitting an NRC license application, which previously had been planned for 2005.

The safety of geologic disposal of high-level waste (HLW), as planned in the United States, depends largely on the characteristics of the rock formations from which a repository would be excavated. Because many geologic formations are believed to have remained undisturbed for millions of years, it appeared technically feasible to isolate radioactive materials from the environment until they decayed to safe levels. "There is strong worldwide consensus that the best, safest long-term option for dealing with HLW is geologic isolation," according to the National Research Council.<sup>1</sup>

But, as the Yucca Mountain controversy indicates, scientific confidence about the concept of deep geologic disposal has turned out to be difficult to apply to specific sites. Every high-level waste site that has been proposed by DOE and its predecessor agencies has faced allegations or discovery of unacceptable flaws, such as water intrusion or earthquake vulnerability, that could release radioactivity into the environment. Much of the problem results from the inherent uncertainty involved in predicting waste site performance for the one million years that nuclear waste is to be isolated. Opponents of geologic disposal have urged greater emphasis on new or alternative technologies that might allow entirely different approaches to high-level radioactive waste management.

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<sup>1</sup> National Research Council, Board on Radioactive Waste Management, *Rethinking High-Level Radioactive Waste Disposal: A Position Statement of the Board on Radioactive Waste Management* (1990), p. 2.

**Other Programs.** Other types of civilian radioactive waste have also generated public controversy, particularly low-level radioactive waste, which is produced by nuclear power plants, medical institutions, industrial operations, and research activities. Civilian low-level waste currently is disposed of in large trenches at sites in South Carolina and Washington state; however, the Washington facility does not accept waste from outside its region. The lowest-concentration class of low-level radioactive waste is also accepted by a Utah commercial disposal facility. Threats by states to close their disposal facilities led to congressional authorization of regional compacts for low-level waste disposal in 1985, although no new sites have been opened by any of the 10 approved disposal compacts. Pursuant to a 2003 Texas statute, an application to build a disposal facility for commercial and federal low-level waste in Andrews County, Texas, was filed August 2, 2004, by Waste Control Specialists LLC.

## Nuclear Utility Lawsuits

Nuclear utilities, which pay for most of the high-level waste disposal program through a fee on nuclear power, have sued DOE for failing to begin the removal of spent nuclear fuel from storage at commercial reactors by January 31, 1998, the deadline established by the Nuclear Waste Policy Act. Industry officials contend that total damages for missing the 1998 disposal deadline could eventually reach tens of billions of dollars, assuming that no disposal ever takes place. Claims from more than 20 nuclear utilities are pending.<sup>2</sup>

DOE has been negotiating with various reactor owners since 1999 on the missed nuclear waste deadline and reached its first settlement agreement with a nuclear utility, PECO Energy Co. (now part of Exelon), on July 19, 2000. The agreement allowed PECO to keep up to \$80 million in nuclear waste fee revenues during the subsequent 10 years. However, other utilities sued DOE to block the settlement, contending that nuclear waste fees may be used only for the DOE waste program and not as compensation for missing the disposal deadline. The U.S. Court of Appeals for the 11<sup>th</sup> Circuit agreed, ruling September 24, 2002, that any compensation would have to come from general revenues or other sources than the waste fund.

Exelon announced a settlement with the Department of Justice August 10, 2004, in which compensation for the company's nuclear waste storage costs would be paid from the federal Judgment Fund. Exelon, which operates 17 reactors, calculates that it would be reimbursed \$300 million if DOE began taking waste by the previous goal of 2010, and up to \$600 million if the schedule slipped to 2015.

Although some of the program's delays have been blamed on poor management, DOE contends that tight funding has been a major barrier. DOE cannot spend the nuclear industry's mandatory waste fees without congressional appropriations, and only about half the total fees collected have been appropriated to the program so far. However, some surplus in the fund may be necessary to pay future nuclear waste disposal costs after today's nuclear plants have ceased operation. The nuclear

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<sup>2</sup> "More Utility Damage Claims Expected," *NuclearFuel*, January 20, 2003, p. 8.

industry and others have long urged changes in the waste program's funding mechanism but have consistently been stymied by budget scoring and policy issues.

## Nuclear Spent Fuel Legislation

Continued delays in the Yucca Mountain project have prompted proposals for a legislative redirection of the nuclear waste program. The Bush Administration proposed draft legislation on April 5 that would "facilitate the licensing, construction, and operation of a repository at Yucca Mountain," according to Energy Secretary Samuel W. Bodman.<sup>3</sup>

The administration bill would reduce the scope of environmental reviews for the repository, change the budget scoring of waste fee receipts so that program funding could be increased more easily, exempt nuclear waste sent to Yucca Mountain from disposal requirements under the Resource Conservation and Recovery Act, and allow preemption of state and local transportation requirements. The bill (H.R. 5360, S. 2589) was introduced by Representative Barton on May 11, 2006, and by Senator Domenici on April 6, 2006, by request.

To remove a potential obstacle to new nuclear power plants posed by Yucca Mountain delays, the bill would require NRC to assume that sufficient disposal capacity will be available for waste produced by new reactors. It would also repeal the 70,000 metric ton limit on the amount of waste that can be emplaced at Yucca Mountain, a limit that is expected to be exceeded by currently operating reactors during their lifetimes. Notably excluded from the bill is an authorization for interim federal storage of nuclear waste.

President Bush recommended the Yucca Mountain site to Congress on February 15, 2002, and Nevada Governor Guinn submitted a notice of disapproval, or "state veto," April 8, 2002, as allowed by NWPA. The state veto would have blocked further repository development at Yucca Mountain if a resolution approving the site had not been passed by Congress and signed into law within 90 days of continuous session. An approval resolution was signed by President Bush July 23, 2002 (P.L. 107-200).<sup>4</sup>

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<sup>3</sup> Secretary of Energy, transmittal letter for "Nuclear Fuel Management and Disposal Act," April 5, 2006.

<sup>4</sup> Senator Bingaman introduced the approval resolution in the Senate April 9, 2002 (S.J.Res. 34), and Representative Barton introduced it in the House April 11, 2002 (H.J.Res. 87). The Subcommittee on Energy and Air Quality of the House Committee on Energy and Commerce approved H.J.Res. 87 on April 23 by a 24-2 vote, and the full Committee approved the measure two days later, 41-6 (H.Rept. 107-425). The resolution was passed by the House May 8, 2002, by a vote of 306-117. The Senate Committee on Energy and Natural Resources approved S.J.Res. 34 by a 13-10 vote June 5, 2002 (S.Rept. 107-159). Following a 60-39 vote to consider S.J.Res. 34, the Senate passed H.J.Res. 87 by voice vote July 9, 2002.

Members of the Nevada and Utah congressional delegations introduced legislation on December 14, 2005, to require commercial nuclear reactor operators to place their spent nuclear fuel into on-site dry storage casks, which would then become the permanent responsibility of DOE (H.R. 4538, S. 2099). Opponents of the measure contend that it would leave spent fuel at reactor sites indefinitely and undermine the Nuclear Waste Policy Act. However, supporters argue that the waste would be safer in dry storage at reactor sites than if it were shipped across the country to Yucca Mountain or the proposed Private Fuel Storage (PFS) site in Utah.

## Characteristics of Nuclear Waste

Radioactive waste is a term that encompasses a broad range of material with widely varying characteristics. Some waste has relatively slight radioactivity and is safe to handle, while other types are intensely hot in both temperature and radioactivity. Some decays to safe levels of radioactivity in a matter of days or weeks, while other types will remain dangerous for thousands of years. Major types of radioactive waste are generally defined by DOE and the Nuclear Regulatory Commission (NRC) as follows:

*Spent nuclear fuel.* Fuel rods that have been permanently withdrawn from a nuclear reactor because they can no longer efficiently sustain a nuclear chain reaction (although they contain uranium and plutonium that could be extracted through reprocessing to make new fuel). By far the most radioactive type of civilian nuclear waste, spent fuel contains extremely hot but relatively short-lived fission products (fragments of the nuclei of uranium and other fissile elements) as well as long-lived radionuclides (radioactive atoms) such as plutonium, which remains dangerously radioactive for tens of thousands of years or more.

*High-level waste.* Highly radioactive residue created by spent fuel reprocessing (almost entirely for defense purposes in the United States). High-level waste contains most of the radioactive fission products of spent fuel, but most of the uranium and plutonium usually has been removed for re-use. Enough long-lived radioactive elements remain, however, to require isolation for 10,000 years or more.

*Transuranic (TRU) waste.* Relatively low-activity waste that contains more than a certain level of long-lived elements heavier than uranium (primarily plutonium). Shielding may be required for handling of some types of TRU waste. In the United States, transuranic waste is generated almost entirely by nuclear weapons production processes. Because of the plutonium, long-term isolation is required.

*Low-level waste.* Radioactive waste not classified as spent fuel, high-level waste, TRU waste, or byproduct material such as uranium mill tailings (below). Four classes of low-level waste have been established by NRC, ranging from least radioactive and shortest-lived to the longest-lived and most radioactive. Although some types of low-level waste can be more radioactive than some types of high-level waste, in general low-level waste contains relatively low amounts of radioactivity that decays relatively quickly. Low-level waste disposal facilities cannot accept material that exceeds NRC concentration limits.



*Uranium mill tailings.* Sand-like residues remaining from the processing of uranium ore. Such tailings have very low radioactivity but extremely large volumes that can pose a hazard, particularly from radon emissions or groundwater contamination.

*Mixed waste.* High-level, low-level or TRU waste that contains hazardous non-radioactive waste. Such waste poses serious institutional problems, because the radioactive portion is regulated by DOE or NRC under the Atomic Energy Act, while the Environmental Protection Agency (EPA) regulates the non-radioactive elements under the Resource Conservation and Recovery Act (RCRA).

## **Spent Nuclear Fuel**

When spent nuclear fuel is removed from a reactor, usually after several years of power production, it is thermally hot and highly radioactive. The spent fuel is in the form of fuel assemblies, which consist of arrays of metal-clad fuel rods 12-15 feet long.

A fresh fuel rod, which emits relatively little radioactivity, contains uranium that has been enriched in the isotope U-235 (usually 3%-5%). But after nuclear fission has taken place in the reactor, many of the uranium nuclei in the fuel rods have been split into a variety of highly radioactive fission products; others have absorbed neutrons to become radioactive plutonium, some of which has also split into fission products. Radioactive gases are also contained in the spent fuel rods. Newly withdrawn spent fuel assemblies are stored in deep pools of water adjacent to the reactors to keep them from overheating and to protect workers from radiation.

Spent fuel discharged from U.S. commercial nuclear reactors is currently stored at 72 power plant sites around the nation, plus two small central storage facilities. At the end of 2002 (the most recent DOE survey), commercial spent fuel totaled 46,927 metric tons. A typical large commercial nuclear reactor discharges an average of 20-30 metric tons of spent fuel per year — an average of about 2,150 metric tons annually for the entire U.S. nuclear power industry. As a result, the total amount of commercial spent fuel is expected to exceed 53,000 metric tons by the end of 2006, and 62,000 metric tons by 2010, when the Yucca Mountain repository had been planned to open. Including 7,000 metric tons of DOE spent fuel and high-level waste that is also planned for disposal at Yucca Mountain, the total amount would nearly reach NWPA's 70,000-metric-ton limit by 2010. (For details on current spent fuel storage, see CRS Report RS22001, *Spent Nuclear Fuel Storage Locations and Inventory*, by Anthony Andrews.)

As long as nuclear power continues to be generated, the amounts stored at plant sites will continue to grow until an interim storage facility or a permanent repository can be opened — or until alternative treatment and disposal technology is developed. DOE estimates that the amount of commercial spent fuel and other highly radioactive waste may grow to 105,000 metric tons by 2035.<sup>5</sup>

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<sup>5</sup> DOE Office of Civilian Radioactive Waste Management, *OCRWM Annual Report to* (continued...)

New storage capacity at operating nuclear plant sites or other locations will be required if DOE is unable to begin accepting waste into its disposal system for another six years or longer. Most utilities are expected to construct new dry storage capacity for their older fuel. On-site dry storage facilities currently in operation or planned typically consist of metal casks or concrete modules. NRC has determined that spent fuel could be stored safely at reactor sites for up to 100 years.<sup>6</sup>

The terrorist attacks of September 11, 2001, heightened concerns about the vulnerability of stored spent fuel. Concerns have been raised that an aircraft crash into a reactor's pool area could drain the pool and cause the spent fuel inside to overheat. A report released by NRC January 17, 2001, found that overheating could cause the zirconium alloy cladding of spent fuel to catch fire and release hazardous amounts of radioactivity, although it characterized the probability of such a fire as low.

In a report released April 6, 2005, the National Academy of Sciences (NAS) found that "successful terrorist attacks on spent fuel pools, though difficult, are possible." To reduce the likelihood of spent fuel cladding fires, the NAS study recommended that hotter and cooler spent fuel assemblies be interspersed throughout spent fuel pools, that spray systems be installed above the pools, and that more fuel be transferred from pools to dry cask storage.<sup>7</sup> NRC has agreed to consider some of the recommendations, although it contends that current security measures would prevent successful attacks. The nuclear industry contends that the several hours required for uncovered spent fuel to heat up enough to catch fire would allow ample time for alternative measures to cool the fuel. The FY2006 Energy and Water appropriations bill (P.L. 109-103) gives NRC an additional \$21 million to implement the NAS recommendations.

## Commercial Low-Level Waste

Low-level waste disposed of in commercial sites makes up about a third of all accumulated low-level waste in the United States; the remaining two-thirds has been generated by DOE activities and sent to DOE-owned disposal sites. Several million cubic feet of commercial low-level waste is shipped to disposal sites each year, according to NRC. Volumes can vary widely from year to year, based on the status of nuclear decommissioning projects and cleanup activities that can generate especially large quantities.

For more background on radioactive waste characteristics, see CRS Report RL32163, *Radioactive Waste Streams: An Overview of Waste Classification for Disposal*, by Anthony Andrews.

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<sup>5</sup> (...continued)

*Congress, Fiscal Year 2002*, DOE/RW-0560, October 2003, Appendix C.

<sup>6</sup> Nuclear Regulatory Commission, *Waste Confidence Decision Review*, 55 *Federal Register* 38474, September 18, 1990.

<sup>7</sup> National Academy of Sciences, *Safety and Security of Commercial Spent Nuclear Fuel Storage: Public Report*, released April 6, 2005, p. 2.

## Current Policy and Regulation

Spent fuel and high-level waste are a federal responsibility, while states are authorized to develop disposal facilities for commercial low-level waste. In general, disposal requirements have grown more stringent over the years, in line with overall national environmental policy and heightened concerns about the hazards of radioactivity.

### Spent Nuclear Fuel

**Current Program.** The Nuclear Waste Policy Act of 1982 (NWPAA, P.L. 97-425) established a system for selecting a geologic repository for the permanent disposal of up to 70,000 metric tons (77,000 tons) of spent nuclear fuel and high-level waste. DOE's Office of Civilian Radioactive Waste Management (OCRWM) was created to carry out the program. The Nuclear Waste Fund, holding receipts from a fee on commercial nuclear power and federal contributions for emplacement of high-level defense waste, was established to pay for the program. DOE was required to select three candidate sites for the first national high-level waste repository.

After much controversy over DOE's implementation of NWPAA, the act was substantially modified by the Nuclear Waste Policy Amendments Act of 1987 (Title IV, Subtitle A of P.L. 100-203, the Omnibus Budget Reconciliation Act of 1987). Under the amendments, the only candidate site DOE may consider for a permanent high-level waste repository is at Yucca Mountain, Nevada. If that site cannot be licensed, DOE must return to Congress for further instructions.

The 1987 amendments also authorized construction of a monitored retrievable storage (MRS) facility to store spent fuel and prepare it for delivery to the repository. But because of fears that the MRS would reduce the need to open the permanent repository and become a de facto repository itself, the law forbids DOE from selecting an MRS site until recommending to the President that a permanent repository be constructed. The repository recommendation occurred in February 2002, but DOE has not announced any plans for an MRS.

**Waste Facility Schedules.** Upon releasing the civilian nuclear waste program's FY2006 budget request on February 7, 2005, DOE officials announced that the opening of the planned Yucca Mountain repository would be delayed at least two years from the previous goal of 2010 — and more than 14 years after the statutory deadline of January 1998.

DOE announced on October 25, 2005, that it would require most spent fuel to be sealed in standardized canisters before shipment to Yucca Mountain, a change that would largely eliminate the handling of individual fuel assemblies at the site. DOE subsequently informed NRC that the changes would further delay submission of the Yucca Mountain license application: "Until this plan is approved and these changes

have been incorporated into the project's baseline design, DOE will be unable to estimate realistically when the license application will be submitted.”<sup>8</sup>

The major activity at the Yucca Mountain site so far has been the construction and operation of an “exploratory studies facility” (ESF) with a 25-foot-diameter tunnel boring machine. The ESF consists primarily of a five-mile tunnel with ramps leading to the surface at its north and south ends. The tunnel boring machine began excavating the north ramp in October 1994 and broke through to the surface at the south entrance April 25, 1997. Underground studies are being conducted at several side alcoves that have been excavated off the main tunnel.

DOE completed a “viability assessment” of Yucca Mountain in December 1998, which was followed by a draft environmental impact statement (EIS) for the project in July 1999. DOE issued a preliminary site suitability evaluation August 21, 2001, that found Yucca Mountain could meet EPA and NRC requirements.

Energy Secretary Abraham on February 14, 2002, recommended to President Bush that the Yucca Mountain project go forward. At the same time, the Secretary submitted the final EIS (see [[http://www.ocrwm.doe.gov/documents/feis\\_a/index.htm](http://www.ocrwm.doe.gov/documents/feis_a/index.htm)]) and other supporting materials (for details, see the Yucca Mountain Project home page at [<http://www.ocrwm.doe.gov>]). As noted previously, President Bush recommended the Yucca Mountain site to Congress the day after the Secretary's recommendation, and Nevada Governor Guinn subsequently submitted a notice of disapproval, or “state veto,” as allowed by NWPA. An approval resolution passed by the House and Senate to overturn the state veto was signed by the President July 23, 2002 (P.L. 107-200).

DOE announced April 8, 2004, that it planned to transport nuclear waste mostly by rail to the planned Yucca Mountain repository. The Record of Decision on the waste transportation mode was published in the *Federal Register* along with the selection of a corridor in Nevada for a 300-mile rail spur to the Yucca Mountain site. DOE estimated that Yucca Mountain would receive 9,000-10,000 rail shipments and 3,000-3,300 truck shipments over a 24-year period after the repository opened. The repository is to be permanently closed in 2116, according to the DOE viability assessment.

The quality of scientific work at Yucca Mountain was called into question by DOE's March 16, 2005, disclosure of e-mails from geologists indicating that some quality assurance documentation had been falsified. DOE currently is determining whether the problems affect the completeness and accuracy of information submitted to NRC in support of the planned Yucca Mountain license application. The Government Accountability Office in March 2006 found chronic quality assurance problems in the Yucca Mountain project.<sup>9</sup> Members of the Nevada congressional

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<sup>8</sup> Nuclear Regulatory Commission, *The Department of Energy's Sixth Monthly Status Report Regarding LSN Certification and License Application Submittal*, November 1, 2005.

<sup>9</sup> U.S. Government Accountability Office, *Yucca Mountain: Quality Assurance at DOE's Planned Nuclear Waste Repository Needs Increased Management Attention*, GAO-06-313, (continued...)

delegation and state officials have called for the Yucca Mountain project to be suspended and for an independent commission to review all of DOE's scientific work at Yucca Mountain.<sup>10</sup>

The state of Nevada is also fighting DOE in court. A suit filed in June 2002 charged DOE with violating NWPA by relying too strongly on casks and other engineered barriers to prevent radioactive releases, rather than on Yucca Mountain's natural site characteristics. The most recent, filed January 9, 2003, contended that Congress violated the Constitution in eliminating all candidate waste sites except Yucca Mountain. The U.S. Court of Appeals for the District of Columbia Circuit rejected those challenges July 9, 2004, but it struck down EPA's 10,000-year regulatory compliance period as too short (discussed in more detail below).

Delays in the Yucca Mountain project prompted the House Appropriations Committee to include language in its report on the FY2006 Energy and Water appropriations bill directing DOE "to begin the movement of spent fuel to centralized interim storage at one or more DOE sites within fiscal year 2006."<sup>11</sup> The bill as passed by the House (H.R. 2419) would have added \$10 million to the Administration's nuclear waste funding request for that purpose. During floor debate, questions arose about potential conflicts between the committee report language and restrictions on DOE interim storage imposed by NWPA and state agreements. The Senate-passed version of the bill did not include comparable report language on spent fuel storage.

The conference agreement on the FY2006 Energy and Water bill<sup>12</sup> provides \$500 million for nuclear waste disposal — \$150 million from the Nuclear Waste Fund and \$350 million from the Defense Nuclear Waste Disposal Account. Of the defense waste funding, \$50 million is provided for DOE to develop a spent nuclear fuel recycling plan, in conjunction with a recycling technology development plan required under the Advanced Fuel Cycle Initiative (AFCI). The detailed program plan is to be submitted by March 31, 2006, and a "site selection competition" for an integrated reprocessing facility is to begin by June 30, 2006. A reprocessing site is to be selected in FY2007 and construction to begin in FY2010. "The site competition should not be limited to DOE sites, but should be open to a wide range of other possible federal and nonfederal sites on a strictly voluntary basis," according to the conference report. Applicants for a reprocessing facility can receive up to \$5 million per site, up to a total of \$20 million, to prepare detailed proposals.

DOE is requesting no additional funding for FY2007 for spent fuel recycling in the nuclear waste program. However, the FY2007 budget request would sharply boost research funding for recycling (also called *reprocessing*) in the AFCI program

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<sup>9</sup> (...continued)  
March 2006, p. 6.

<sup>10</sup> Elaine Hiruo, "DOE Sought Help From Scientist Who Wrote E-mails," *NuclearFuel*, April 11, 2005, p. 1.

<sup>11</sup> H.Rept. 109-86, May 18, 2005.

<sup>12</sup> H.Rept. 109-275, P.L. 109-103

— from \$79.2 million to \$243.0 million — as part of the Global Nuclear Energy Partnership (GNEP).

The House on May 24 approved \$574.5 million for the nuclear waste program in its version of the FY2007 Energy and Water Development Appropriations Bill (H.R. 5427, H.Rept. 109-474), with the additional \$30 million to be used for interim waste storage if authorizing legislation is enacted. The bill would cut the GNEP-related recycling research request to \$120 million — still a 50% increase over the FY2006 level.

**Private Interim Storage.** In response to delays in the federal nuclear waste program, a utility consortium signed an agreement with a Utah Indian tribe on December 27, 1996, to develop a private spent fuel storage facility on tribal land. The Private Fuel Storage (PFS) consortium submitted a license application to NRC on June 25, 1997, and an NRC licensing board recommended approval on February 24, 2005. On September 9, 2005, NRC denied the State of Utah's final appeals and authorized the NRC staff to issue the license. The 20-year license for storing up to 44,000 tons of spent fuel in dry casks was issued on February 21, 2006.

Despite the NRC license, the PFS facility still needs approval from the Bureau of Land Management (BLM) to build a rail line or widen a highway through federal land to transport waste to the site. BLM officials said in November 2005 that such approval would not be given until the Department of Defense has studied the impact of any such land-use changes on nearby military facilities, as required by section 2815 of the National Defense Authorization Act for FY2000 (P.L. 106-65). In addition to the BLM approval, PFS will need approval from the Bureau of Indian Affairs and the Surface Transportation Board. The NRC license also requires PFS to demonstrate adequate funding before construction would be allowed. No contracts to store waste at the PFS site have been announced.

The NRC licensing board had determined on March 10, 2003, that the PFS facility should not be licensed without sufficient evidence that it could withstand a crash from fighter jets based nearby. In February 2005, the three-member panel decided 2-1 that most crashes would not breach the storage casks and that the probability of radioactive releases was therefore low enough to allow the facility to be licensed. The U.S. Circuit Court of Appeals for the 10<sup>th</sup> Circuit on August 4, 2004, struck down several statutes that Utah had enacted to block the PFS project, but the state is appealing the decision. The state also filed a lawsuit in the Court of Appeals for the District of Columbia Circuit on March 6, 2006, to overturn the PFS license.<sup>13</sup>

**Regulatory Requirements.** NWPA requires that high-level waste facilities be licensed by the NRC in accordance with general standards issued by EPA. Under the Energy Policy Act of 1992 (P.L. 102-486), EPA was required to write new standards specifically for Yucca Mountain. NWPA also requires the repository to meet general siting guidelines prepared by DOE and approved by NRC. Transportation of waste to storage and disposal sites is regulated by NRC and the

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<sup>13</sup> *LLW Notes*, "Utah Challenges License Issuance to PFS," March/April 2006, p. 16.

Department of Transportation (DOT). Under NWPA, DOE shipments to Yucca Mountain must use NRC-certified casks and comply with NRC requirements for notifying state and local governments. Yucca Mountain shipments must also follow DOT regulations on routing, placarding, and safety.

NRC's licensing requirements for Yucca Mountain, at 10 CFR 63, require compliance with EPA's standards (described below) and establish procedures that DOE must follow in seeking a repository license. For example, DOE must conduct a repository performance confirmation program that would indicate whether natural and man-made systems were functioning as intended and assure that other assumptions about repository conditions were accurate.

The Energy Policy Act of 1992 (P.L. 102-486) made a number of changes in the nuclear waste regulatory system, particularly that EPA must issue new environmental standards specifically for the Yucca Mountain repository site. General EPA repository standards previously issued and subsequently revised no longer apply to Yucca Mountain. DOE and NRC had complained that some of EPA's general standards might be impossible or impractical to meet.

The new standards, which limit the radiation dose that the repository could impose on individual members of the public, were required to be consistent with the findings of a study by the National Academy of Sciences (NAS), which was issued August 1, 1995.<sup>14</sup> The NAS study recommended that the Yucca Mountain environmental standards establish a limit on risk to individuals near the repository, rather than setting specific limits for the releases of radioactive material or on radioactive doses, as under previous EPA standards. The NAS study also examined the potential for human intrusion into the repository and found no scientific basis for predicting human behavior thousands of years into the future.

Pursuant to the Energy Policy Act, EPA published its proposed Yucca Mountain radiation protection standards on August 27, 1999. The proposal would have limited annual radiation doses to 15 millirems for the "reasonably maximally exposed individual," and to 4 millirems from groundwater exposure, for the first 10,000 years of repository operation. EPA calculated that its standard would result in an annual risk of fatal cancer for the maximally exposed individual of seven chances in a million. The nuclear industry criticized the EPA proposal as being unnecessarily stringent, particularly the groundwater standard. On the other hand, environmental groups contended that the 10,000-year standard proposed by EPA was too short, because DOE had projected that radioactive releases from the repository would peak after about 400,000 years.

EPA issued its final Yucca Mountain standards on June 6, 2001. The final standards included most of the major provisions of the proposed version, including the 15 millirem overall exposure limit and the 4 millirem groundwater limit. Despite the Department's opposition to the EPA standards, DOE's site suitability evaluation

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<sup>14</sup> National Research Council. *Technical Bases for Yucca Mountain Standards*. National Academy Press. 1995.

determined that the Yucca Mountain site would be able to meet them. NRC revised its repository regulations September 7, 2001, to conform to the EPA standards.

In a ruling that could delay the nuclear waste program, a three-judge U.S. Court of Appeals panel on July 9, 2004, struck down the 10,000-year regulatory compliance period in the EPA and NRC Yucca Mountain standards.<sup>15</sup> The court ruled that the 10,000-year period was inconsistent with the NAS study on which the Energy Policy Act required the Yucca Mountain regulations to be based. In fact, the court found, the NAS study had specifically rejected a 10,000-year compliance period because of analysis that showed peak radioactive exposures from the repository would take place several hundred thousand years in the future.

In response to the court decision, EPA proposed a new version of the Yucca Mountain standards on August 9, 2005. The proposal would retain the dose limits of the previous standard for the first 10,000 years but allow a higher annual dose of 350 millirems for the period of 10,000 years through 1 million years. The Final Environmental Impact Statement for the Yucca Mountain repository estimates that mean peak doses — occurring after 400,000 years — would be about 150 millirems (Volume 1, Chapter 5). EPA also is proposing to base the new Yucca Mountain standard on the median dose, rather than the mean, potentially making it easier to meet.<sup>16</sup> Nevada state officials called EPA's proposed standard far too lenient and charged that it was "unlawful and arbitrary."<sup>17</sup>

**Alternative Technologies.** Several alternatives to the geologic disposal of spent fuel have been studied by DOE and its predecessor agencies, as well as technologies that might make waste disposal easier. However, most of these technologies involve large technical obstacles, uncertain costs, and potential public opposition.

Among the primary long-term disposal alternatives to geologic repositories are disposal in deep ocean trenches and transport into space, neither of which is currently being studied by DOE. Other technologies have been studied that, while probably not replacing geologic disposal, might make geologic disposal safer and more predictable. Chief among these is the reprocessing or "recycling" of spent fuel so that plutonium, uranium, and other long-lived radionuclides could be converted to faster-decaying fission products in special nuclear reactors or particle accelerators. The spent fuel recycling provisions in the FY2006 Energy and Water Development Appropriations bill and the Administration's GNEP initiative, discussed above, seem to indicate growing interest in this area.

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<sup>15</sup> *Nuclear Energy Institute v. Environmental Protection Agency*, U.S. Court of Appeals for the District of Columbia Circuit, No. 01-1258, July 9, 2004.

<sup>16</sup> Especially high doses at the upper end of the exposure range would raise the mean, or average, more than the median, or the halfway point in the data set.

<sup>17</sup> Office of the Governor, Agency for Nuclear Projects. *Comments by the State of Nevada on EPA's Proposed New Radiation Protection Rule for the Yucca Mountain Nuclear Waste Repository*. November 2005.



**Funding.** DOE is requesting \$544.5 million in FY2007 for the Office of Civilian Radioactive Waste Management. The request is 10% above the FY2006 appropriation of \$495 million but nearly \$30 million below the FY2005 level, when Congress and DOE had anticipated a rapid funding escalation to open the Yucca Mountain repository by 2010. According to DOE, the FY2007 funding request will allow OCRWM to continue revising the Yucca Mountain license application to reflect the recently announced canistered fuel concept, correct quality assurance problems, and meet the new EPA environmental standards. However, there is no announced target date for completing the license application. A significant funding increase for transportation would allow completion of an environmental impact statement and record of decision on a proposed 320-mile rail spur to Yucca Mountain.<sup>18</sup>

Funding for the program is provided under two appropriations accounts, as shown in **Table 1**. The Administration is requesting \$156.4 million from the Nuclear Waste Fund, which holds the fees paid by nuclear utilities. An additional \$388.1 million is requested under the Defense Nuclear Waste Disposal account, which pays for disposal of high-level waste from the nuclear weapons program in the planned Yucca Mountain repository. The House on May 24, 2006, approved \$574.5 million for the program in its version of the FY2007 Energy and Water Development Appropriations Bill (H.R. 5427, H.Rept. 109-474), with the additional \$30 million — not to be derived from the Nuclear Waste Fund — to be used for interim waste storage if authorizing legislation is enacted.

**Table 1. DOE Civilian Spent Fuel Management Funding**  
(in millions of current dollars)

Program	FY2005 Approp.	FY2006 Approp.	FY2007 Request	FY2007 House
Yucca Mountain	413.1	306.0	355.4	—*
Transportation	30.7	20.0	67.7	—
Program integration	49.3	40.5	46.0	—
Program direction	79.4	79.2	75.4	—
Spent fuel recycling	—	49.5	—	—
Interim storage	—	—	—	30.0
<b>Total</b>	<b>572.4</b>	<b>495.0</b>	<b>544.5</b>	<b>574.5</b>
Source of Funding				
Nuclear Waste Fund appropriations	343.3	148.5	156.4	156.4
Unspecified	—	—	—	30.0
Defense waste appropriations	229.2	346.5	388.1	388.1

**Sources:** Appropriations Committee reports, DOE FY2006 Congressional Budget Request.

\* Subcategories not specified.

<sup>18</sup> DOE, *FY2007 Congressional Budget*, vol. 4, p. 566.

Although nuclear utilities pay fees to the Nuclear Waste Fund to cover the disposal costs of civilian nuclear spent fuel, DOE cannot spend the money in the fund until it is appropriated by Congress. Through December 31, 2005, utility nuclear waste fees and interest totaled \$24.861 billion, of which \$6.576 billion had been disbursed to the waste disposal program, according to DOE's program summary report, leaving a balance of \$18.285 billion in the Nuclear Waste Fund. The nuclear waste program's appropriations for FY1983-FY2006 total \$9.212 billion, according to DOE, including \$2.969 billion for defense waste disposal.<sup>19</sup>

The DOE Total System Life Cycle Cost Report, issued in May 2001 and updated in September 2003, estimates that the entire nuclear waste program will cost \$56 billion (in constant 2000 dollars) through 2119.

## Low-Level Radioactive Waste

**Current Policy.** Selecting disposal sites for low-level radioactive waste, which generally consists of low concentrations of relatively short-lived radionuclides, is a state responsibility under the 1980 Low-level Radioactive Waste Policy Act and 1985 amendments. Most states have joined congressionally approved interstate compacts to handle low-level waste disposal, while others are developing single-state disposal sites. Under the 1985 amendments, the nation's three (at that time) operating commercial low-level waste disposal facilities could start refusing to accept waste from outside their regional interstate compacts after the end of 1992. One site is currently using that authority and another closed, leaving one open to nationwide disposal of all major types of low-level waste and one open only regionally. A third site, in Utah, has since become available nationwide for most Class A low-level waste.

Despite the 1992 deadline, no new disposal sites have been opened. Legislation providing congressional consent to a disposal compact among Texas, Maine, and Vermont was signed by President Clinton September 20, 1998 (P.L. 105-236). However, on October 22, 1998, a proposed disposal site near Sierra Blanca, Texas, was rejected by the Texas Natural Resource Conservation Commission, and Maine has since withdrawn. Texas Governor Perry signed legislation June 20, 2003, authorizing the Texas Commission on Environment Quality to license adjacent disposal facilities for commercial and federally generated low-level waste. Pursuant to that statute, an application to build a disposal facility for commercial and federal low-level waste in Andrews County, Texas, was filed August 2, 2004, by Waste Control Specialists LLC.

The Midwestern Compact voted June 26, 1997, to halt development of a disposal facility in Ohio. Nebraska regulators rejected a proposed waste site for the Central Compact December 21, 1998, drawing a lawsuit from five utilities in the region. A U.S. district court judge ruled September 30, 2002, that Nebraska had exercised bad faith in disapproving the site and ordered the state to pay \$151 million

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<sup>19</sup> DOE, Office of Civilian Radioactive Waste Management, Office of Program Management, *Monthly Summary of Program Financial and Budget Information*, as of December 31, 2005, available at [<http://www.ocrwm.doe.gov/about/budget/money.shtml>].

to the compact. A settlement was reached August 9, 2004, in which Nebraska will pay the compact \$140.4 million, and the compact will seek access to the planned Texas disposal facility. Most other regional disposal compacts and individual states that have not joined compacts are making little progress toward finding disposal sites, largely because of public opposition and the continued availability of the disposal facilities in South Carolina and, for most Class A waste, Utah.

One disposal facility, at Barnwell, South Carolina, is currently accepting all Class A, B and C low-level waste from most states. The Barnwell facility had stopped accepting waste from outside the Southeast Compact at the end of June 1994. The Southeast Compact Commission in May 1995 twice rejected a South Carolina proposal to open the Barnwell site to waste generators outside the Southeast and to bar access to North Carolina until that state opened a new regional disposal facility, as required by the compact. The rejection of those proposals led the South Carolina General Assembly to vote in 1995 to withdraw from the Southeast Compact and begin accepting waste at Barnwell from all states but North Carolina. North Carolina withdrew from the Southeast Compact July 26, 1999, a move that prompted a lawsuit from the compact on July 10, 2000.

South Carolina joined the Atlantic Compact (formerly the Northeast Compact) with Connecticut and New Jersey on July 1, 2000. Under the compact, South Carolina can limit the use of the Barnwell facility to the three compact members. A state law enacted in June 2000 phases out acceptance of non-compact waste through 2008.

The only other existing disposal facility for all three major classes of low-level waste is at Hanford, Washington. Controlled by the Northwest Compact, the Hanford site will continue taking waste from the neighboring Rocky Mountain Compact under a contract. States barred from access to existing disposal facilities are likely to require low-level waste generators to store their waste on site until new disposal sites are available, particularly for Class B and C waste.

**Regulatory Requirements.** Licensing of commercial low-level waste facilities is carried out under the Atomic Energy Act by NRC or by “agreement states” with regulatory programs approved by NRC. NRC regulations governing low-level waste licenses must conform to general environmental protection standards and radiation protection guidelines issued by EPA. Transportation of low-level waste is jointly regulated by NRC and the Department of Transportation.

Most states considering new or expanded low-level waste disposal facilities, including Texas and Utah, are agreement states. Most states, both agreement and non-agreement, have established substantially stricter technical requirements for low-level waste disposal than NRC’s, such as banning shallow land burial and requiring concrete bunkers and other engineered barriers. NRC would issue the licenses in non-agreement states.

## Concluding Discussion

Disposal of radioactive waste will be a key issue in the continuing nuclear power debate. Without a national disposal system, spent fuel from nuclear power plants must be stored on-site indefinitely. This situation may raise public concern near proposed reactor sites, particularly at sites without existing reactors where spent nuclear fuel is already stored. Several states have tied approval of new reactors to the availability of waste disposal capacity.<sup>20</sup>

Under current law, the federal government's waste disposal policy is focused on the planned Yucca Mountain repository. Despite presidential and congressional approval for the Yucca Mountain licensing process to go forward, DOE currently has no announced timetable for submitting a license application and will face relentless opposition from the State of Nevada during NRC licensing proceedings. EPA's proposed new environmental standards for Yucca Mountain may face legal challenges as well, which could further slow the process.

Because of their waste-disposal contracts with DOE, owners of existing reactors are likely to continue seeking damages from the federal government if disposal delays continue. DOE's 2004 settlement with the nation's largest nuclear operator, Exelon, could require payments of up to \$600 million from the federal judgment fund, and the nuclear industry has predicted that future damages could reach tens of billions of dollars if no disposal progress is made.

The Administration's proposed nuclear waste legislation (S. 2589, H.R. 5360) is intended to remove some of the obstacles to opening Yucca Mountain and to remove the lack of permanent waste disposal as an obstacle to licensing new nuclear power plants. However, it is uncertain whether the legislation will see action in the remaining months of the 109<sup>th</sup> Congress. The House Appropriations Committee is urging that the federal government provide interim storage of spent nuclear fuel pending a permanent solution, but that option has proven highly controversial in the past.

The Administration's proposed Global Nuclear Energy Partnership would open the door for spent fuel reprocessing as a long-term option for handling nuclear waste. Reprocessing (or recycling) proponents have long contended that direct disposal of spent fuel — as currently planned — would waste a potentially vast energy resource and that reprocessing could reduce the long-term hazard posed by nuclear waste. However, the United States has not pursued commercial reprocessing since the 1970s, because of concerns over nuclear nonproliferation and costs. Heated reaction, both pro and con, to the Administration's latest initiative indicates that the controversy has not receded in the meantime.

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<sup>20</sup> Wiese, Steven M., *State Regulation of Nuclear Power*, CRS Report Prepared for the House Committee on Interior and Insular Affairs, December 14, 1992, p. 18.

## 109<sup>th</sup> Congress Legislation

### **H.R. 526 (Berkley)**

Redirects the Nuclear Waste Fund established under the Nuclear Waste Policy Act of 1982 into research, development, and utilization of risk-decreasing technologies for the onsite storage and eventual reduction of radiation levels of nuclear waste. Introduced February 2, 2005; referred to Committees on Energy and Commerce; Science; and Ways and Means.

### **H.R. 895 (Berkley)**

Provides for interagency planning for preparing for, defending against, and responding to the consequences of terrorist attacks against the Yucca Mountain Project. Introduced February 17, 2005; referred to Committees on Energy and Commerce and Homeland Security.

### **H.R. 2419 (Hobson)**

Energy and Water Development Appropriations for FY2006. Provides funding for DOE nuclear waste program. Reported as an original measure by the House Committee on Appropriations and introduced May 18, 2005 (H.Rept. 109-86). Passed House May 24, 2005, by vote of 416-13. Passed Senate July 1, 2005, by vote of 92-3 (S.Rept. 109-84). Signed by the President November 19, 2005 (H.Rept. 109-275, P.L. 109-103).

### **H.R. 4538 (Matheson)/S. 2099 (Reid)**

Spent Nuclear Fuel On-Site Storage Security Act of 2005. Requires commercial nuclear power plants to transfer spent fuel from pools to dry storage casks and then convey title to the Secretary of Energy. Introduced December 14, 2005. House bill referred to Committee on Energy and Commerce; Senate bill referred to Committee on Environment and Public Works.

### **H.R. 5427 (Hobson)**

Energy and Water Development Appropriations Act, 2007. Provides funding for DOE nuclear waste program. Reported by Appropriations Committee as an original measure May 19, 2006 (H.Rept. 109-574). Passed House May 24, 2006, by vote of 404-20.

### **S. 2589 (Domenici, by request)/H.R. 5360 (Barton, by request)**

Nuclear Fuel Management and Disposal Act. Changes requirements for licensing, construction, and operation of planned Yucca Mountain nuclear waste repository. Senate bill introduced April 6, 2006; referred to Committee on Energy and Natural Resources. House bill introduced May 11, 2006; referred to multiple committees.

## Congressional Hearings, Reports, and Documents

- U.S. Congress. House. Committee on Energy and Commerce. Subcommittee on Energy and Air Quality. *A Review of the President's Recommendation to Develop a Nuclear Waste Repository at Yucca Mountain, Nevada*. Hearing, 107<sup>th</sup> Congress, 2<sup>nd</sup> session. April 18, 2002. Washington: GPO, 2002. 294 p. Serial no. 107-99.
- U.S. Congress. Senate. Committee on Energy and Natural Resources. *Low-Level Radioactive Waste*. Hearing, 108<sup>th</sup> Congress, 2<sup>nd</sup> session. September 30, 2004. Washington: GPO, 2005. 62 p.
- U.S. Congress. Senate. *Yucca Mountain Repository Development*. Hearings, 107<sup>th</sup> Congress, 1<sup>st</sup> session. May 16, 22, and 23, 2002. Washington: GPO, 2002. 240 p. S. Hrg. 107-483.

## For Additional Reading

- Harvard University. John F. Kennedy School of Government. Belfer Center for Science and International Affairs. *The Economics of Reprocessing vs. Direct Disposal of Spent Nuclear Fuel*. DE-FG26-99FT4028. December 2003.
- Nuclear Waste Technical Review Board. *Report to the U.S. Congress and the U.S. Secretary of Energy*. May 2005. [<http://www.nwtrb.gov/reports/reports.html>]
- U.S. Department of Energy. *Office of Civilian Radioactive Waste Management home page*; covers DOE activities for disposal, transportation, and other management of civilian nuclear waste. [<http://www.ocrwm.doe.gov>].
- U.S. General Accounting Office. *Low-Level Radioactive Waste: Disposal Availability Adequate in the Short Term, but Oversight Needed to Identify Any Future Shortfalls*. GAO-04-604. June 2004. 53 p.