



Department of Defense DIRECTIVE

NUMBER 2000.12

April 13, 1999

ASD(SO/LIC)

SUBJECT: DoD Antiterrorism/Force Protection (AT/FP) Program

- References:
- (a) DoD Directive 2000.12, "DoD Combating Terrorism Program," September 15, 1996 (hereby canceled)
 - (b) Secretary of Defense Report to the President and Congress on the Protection of U.S. Forces Deployed Abroad, "Force Protection, Global Interests, Global Responsibilities" September 15, 1996
 - (c) DoD Instruction O-2000.16, "DoD Combating Terrorism Program Standards," July 21, 1997
 - (d) DoD O-2000.12H, "Protection of DoD Personnel and Activities Against Acts of Terrorism and Political Turbulence," February 19, 1993, authorized by this Directive
 - (e) through (dd), see enclosure 1

1. REISSUANCE AND PURPOSE

This Directive:

- 1.1. Changes the name of the DoD Combating Terrorism Program to the DoD AT/FP Program.
- 1.2. Reissues reference (a) to update DoD policies and assigns responsibilities for implementing the procedures for the DoD AT/FP Program, pursuant to reference (b).
- 1.3. Continues to authorize the publication of reference (c), as the DoD standards for AT/FP, and authorizes the publication of reference (d) as guidance for DoD standards in reference (c), IAW DoD 5025.1-M (reference (e)).
- 1.4. Establishes the Chairman of the Joint Chiefs of Staff as the principal advisor and focal point responsible to the Secretary of Defense for DoD AT/FP issues.

1.5. Defines the AT/FP responsibilities of the Military Departments, Commanders of the Combatant Commanders (CINCs), and Defense Agencies for DoD activities in their respective organizations.

2. APPLICABILITY AND SCOPE

2.1. This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments (including the Reserve components) (including the Coast Guard when operating as a Military Service in the Navy), the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Inspector General of the Department of Defense (IG, DoD), the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components"). The term "Military Services," as used herein, refers to the Army, the Navy, the Air Force, the Marine Corps, the Coast Guard (when operating as a Military Service in the Navy), and the National Guard (when it is Federalized).

2.2. This Directive applies to all DoD personnel, both Military Service members and the DoD civilian work force, the family members (dependents) of DoD personnel, DoD Field Activities, DoD facilities, and other DoD material resources (hereafter referred to collectively as "DoD elements and personnel"). DoD elements and personnel under the security-responsibility of the Department of State (DoS) pursuant to references (f) through (h) and other DoD/DoS Memorandums of Understanding (MOU) shall comply with Overseas Security Policy Board (OSPB) security standards instead of DoD standards prescribed by references (a), (c), and (d) and shall coordinate their AT/FP programs with the Chief of Mission (COM) through the United States Defense Representative (USDR). Specific guidance for DoD elements and personnel under the responsibility of DoS is outlined in the DoD/DoS MOU (reference (h)) and in enclosure 1 of DoD Directive 5210.84 (reference (g)). AT/FP responsibilities for defense contractors are in paragraph 5.2.5., below.

2.3. This Directive is applicable for the physical security of all DoD activities both overseas and in the 50 United States, its territories, and possessions.

2.4. DoD Directive 3025.15 (reference (i)) governs all DoD military assistance to civil authorities, including support in connection with incidents involving an act or threat of terrorism. The employment of U.S. military forces in response to acts or threats of domestic terrorism must be requested by the Attorney General and authorized by the President. All requests for assistance in responding to acts or

threats of terrorism must be approved by the Secretary of Defense. The Chairman of the Joint Chiefs of Staff shall assist the Secretary of Defense in implementing the DoD operational response to acts or threats of terrorism.

2.5. Policy and guidance on the conduct of DoD personnel seized by terrorists is contained in DoD Directive 1300.7 (reference (j)).

2.6. Policies governing Reserve component mobilization and accessibility are in DoD Directive 1235.10, and in DoD Instruction 1235.12 (references (k) and (l)).

2.7. Nothing in this Directive shall detract from, nor be construed to conflict with, the authorities and responsibilities of the Commanders of the Combatant Commands or the inherent responsibility of designated military commanders and/or Defense Agency heads to protect military installations, equipment, and personnel under their command.

2.8. There are several OSD staff elements responsible for many of the functional areas described in this Directive as being elements of AT/FP (e.g., physical security, operations security, intelligence, counterintelligence, chemical and biological warfare, and other security programs). Nothing in this Directive shall be construed to conflict with the authorities of those OSD staff elements to act as principal staff assistants and advisors to the Secretary of Defense in those functional areas.

3. DEFINITIONS

Terms used in this Directive are defined in enclosure 2.

4. POLICY

It is DoD policy:

4.1. To protect DoD elements and personnel from terrorist acts.

4.2. That the DoD AT/FP Program shall be an all encompassing program using an integrated systems approach, recognized for its excellence and sustainability.

4.3. That AT/FP is the Commander's responsibility. Commanders must balance AT/FP considerations with mission accomplishment imperatives.

4.4. That AT/FP is a high priority item within the Department of Defense.

4.5. To ensure the AT/FP readiness of all DoD personnel.

4.6. That geographic CINCs' force protection policies take precedence over all force protection policies or programs of any DoD Component deployed in that command's area of responsibility (AOR) and not under the security responsibility of the DoS (IAW reference (h)). The CINC or a designated representative (for example, a Component commander or JTF commander) shall delineate the force protection measures for all DoD personnel not under the responsibility of the DoS.

4.7. To maintain a Combating Terrorism Readiness Initiatives Fund (CbT-RIF) to provide a flexible means to respond to emergent, unforeseen AT/FP requirements as long as it is necessary.

4.8. That all Service members, DoD civilians, and DoD family members (dependents) (as applicable) comply with theater, country, and special clearance requirements specified in DoD Directive 4500.54 (reference (m)) before overseas travel.

4.9. To comply with the "No Double Standard" policy on dissemination of terrorist threat information.

5. RESPONSIBILITIES

5.1. The Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, under the Under Secretary of Defense for Policy, shall:

5.1.1. Serve as the principal staff assistant and advisor to the USD(P) and the Secretary of Defense for AT/FP policy.

5.1.2. Serve as the DoD AT Coordinating Committee Senior Steering Group (ATCC-SSG) Co-Chair, as delineated in enclosure 3.

5.1.3. Appoint the Deputy Assistant Secretary of Defense for Policy and Mission (DASD(P&M)) to co-chair the ATCC as delineated in enclosure 3.

5.1.4. Monitor programs to reduce the vulnerability of DoD elements and personnel to terrorist attack with the Chairman of the Joint Chiefs of Staff and the other Heads of the DoD Components.

5.1.5. Monitor compliance with this Directive by all DoD activities reporting directly to the Secretary of Defense.

5.1.6. Provide the OSD representative to the Deputies Committee, Coordinating Sub-Group for Terrorism (CSG), an observer to the OSPB, and participate in, as applicable, the Weapons of Mass Destruction Preparedness (WMDP) Group and Subgroups.

5.1.7. Provide membership on DoD ATCC Subcommittees, as required.

5.1.8. Through the DoD ATCC Subcommittees and other organizations, provide policy oversight and guidance to DoD Components in support of respective antiterrorism and counterterrorism program efforts.

5.1.9. Develop, publish, and maintain DoD Instruction O-2000.16 and DoD O-2000.12H (references (c) and (d)), consistent with DoD 5025.1-M (reference (e)), to provide standards and guidance on protective measures that serve to reduce the vulnerability of DoD personnel and their family members (dependents) to terrorist acts.

5.1.10. Sponsor the DoD Worldwide AT Conference.

5.1.11. Coordinate DoD AT/FP Program physical security issues with the Assistant Secretary of Defense for Command, Control, Communications, and Intelligence, the DoD Physical Security Review Board, the DoD Physical Security Equipment Action Group (PSEAG), the Technical Support Working Group (TSWG), and other relevant security boards and committees.

5.1.12. Coordinate with the Under Secretary of Defense for Acquisition and Technology (USD(A&T)) on AT/FP technology development and the application of new technology to meet AT/FP needs.

5.1.13. Coordinate on CbT-RIF requests.

5.2. The Under Secretary of Defense for Acquisition and Technology shall:

5.2.1. Provide a member to the DoD ATCC (and Subcommittees, as required) under enclosure 3 and a representative to the DoD Worldwide AT Conference.

5.2.2. Ensure that the Defense Federal Acquisition Regulation Supplements

(DFARS) (reference (n)) reflects current DoD AT/FP security requirements for defense contractors.

5.2.3. Be the DoD official responsible for AT/FP technology development and expedite the application of new technology to meet AT/FP needs.

5.2.4. Be the DoD official responsible for the evaluation and testing of commercial-off-the-shelf (COTS) products to support the rapid acquisition and quick field integration of state-of-the-art AT/FP technology.

5.2.5. With the Assistant Secretary of Defense for Force Management Policy (ASD(FMP)), establish policy for implementation in the DFARS requiring defense contractors, in the course of performing DoD contracts outside the United States, to:

5.2.5.1. Affiliate with the Overseas Security Advisory Council (OSAC) (if the contractors are U.S. companies).

5.2.5.2. Ensure that their personnel who are U.S. nationals register with the U.S. Embassy and third country nationals comply with the requirements of the Embassy of their nationality.

5.2.5.3. Provide AT/FP awareness information to personnel (before their travel outside the U.S.) commensurate with the information DoD provides to its military, DoD civilian personnel, and families (to the extent such information may be made available).

5.2.5.4. Receive the most current AT/FP guidance for personnel and comply with DoD Directive 4500.54 (reference (m)), as applicable.

5.3. The Under Secretary of Defense (Comptroller) shall:

5.3.1. Provide appropriate members to the DoD ATCC (and Subcommittees as required) under enclosure 3.

5.3.2. Provide information and guidance to DoD Components on displaying AT/FP resources within Program Planning and Budgeting System (PPBS) program and budget submissions.

5.3.3. Provide reports on AT/FP funds, as requested by the Secretary of Defense and the Chairman of the Joint Chiefs of Staff.

5.4. The Under Secretary of Defense for Policy shall:

5.4.1. Provide members to the DoD ATCC (and Subcommittees, as required) under enclosure 3, the DoD Worldwide AT Conference, and an observer to the OSPB.

5.5. The Assistant Secretary of Defense for Command, Control, Communications, and Intelligence, shall: Provide policy, guidance, and oversight for information, information technology and physical security programs, security and investigative matters, counterintelligence, DoD foreign counterintelligence, intelligence, and information operations programs and work in conjunction with the ASD(SO/LIC) on matters pertaining to both elements of the combating terrorism program.

5.5.2. Review the DoD intelligence, counterintelligence, security, and information operations support provided under this Directive for compliance with references (g), and (o).

5.5.3. Monitor Defense Intelligence Agency (DIA) and National Security Agency (NSA) execution of AT/FP responsibilities listed in enclosures 4 and 5.

5.5.4. Provide a representative to the Inter-agency Intelligence Committee on Terrorism.

5.5.5. Provide appropriate members to the DoD ATCC (and Subcommittees, as required) under enclosure 3, the DoD Worldwide AT Conference, and an observer to the OSPB.

5.5.6. Annually, as part of the PPBS cycle, review jointly with the Chairman of the Joint Chiefs of Staff the adequacy of physical security, counterintelligence, intelligence, and other security resources as applicable to determine whether they adequately support AT/FP program objectives. Assist and support the Chairman in advising the Secretary of Defense of any changes that are needed to meet AT/FP requirements.

5.6. The Assistant Secretary of Defense for Reserve Affairs, under the Under Secretary of Defense for Personnel and Readiness, shall:

5.6.1. Provide an observer to the DoD ATCC (and Subcommittees, as required) under enclosure 3, and a representative to the DoD Worldwide AT conference.

5.6.2. Monitor Military Departments' Reserve component readiness and training policies and funding to provide for domestic and overseas AT/FP preparedness.

5.7. The Assistant Secretary of Defense for Force Management Policy, under the Under Secretary of Defense for Personnel and Readiness, shall:

5.7.1. Provide a member to the DoD ATCC (and Subcommittees, as required) under enclosure 3, and a representative to the DoD Worldwide AT conference.

5.7.2. Establish an AT/FP Program for the Department of Defense Education Activity.

5.7.3. Review and evaluate recommendations from the Secretaries of the Military Departments, the Commanders of the Combatant Commands, the Directors of Defense Agencies, and the Chairman of the Joint Chiefs of Staff, concerning AT/FP considerations in establishing tour lengths and determine whether restrictions should be placed on accompanying family members (dependents) for personnel assigned to overseas activities.

5.8. The Assistant to the Secretary of Defense for Intelligence Oversight shall:

5.8.1. Review the DoD intelligence and counterintelligence support provided under this Directive for compliance with references (p) and (q).

5.8.2. Provide an observer to the DoD ATCC (and Subcommittees as required) under enclosure 3, and a representative to the DoD Worldwide AT Conference.

5.9. The Secretaries of the Military Departments through the Services shall:

5.9.1. Institute Service AT/FP Programs, to include Reserve components and support them with adequate programing, planning, and funding. Ensure that existing physical security, base defense, and law enforcement programs address terrorism as a potential threat to DoD elements and personnel. Be responsible for AT/FP at all Service installations within the 50 United States, its territories, or possessions.

5.9.2. Support the geographic and functional CINCs in accordance with DoD Directives 5100.1 (reference (r)) and 5100.3 (reference (s)) to ensure that resources are

adequately programmed in their Military Department budgets to implement the CINCs' AT/FP Programs.

5.9.3. Coordinate with geographic and functional CINCs, as necessary and applicable, to ensure adequate force protection of CINC-assigned forces in the 50 United States, its territories, or possessions. Ensure that overlapping force protection coverage is addressed, and unresolved discrepancies are reported through the chain of command.

5.9.4. Incorporate AT/FP into Service doctrine, ensure that Service AT/FP doctrine is compatible with Joint doctrine.

5.9.5. Institute AT/FP training programs, in accordance with DoD Instruction O-2000.16 (reference (c)). Ensure that AT/FP information (including current security technology) is incorporated in applicable Service schools and training commensurate with the level of responsibility or command for which the school is designed.

5.9.6. Identify and designate incumbents of high-risk billets and spouses requiring AT resident training. Provide AT resident training to those personnel assigned to highrisk billets and others, as applicable.

5.9.7. Ensure that Service personnel and their family members (dependents) comply with DoD Directive 4500.54 (reference (m)). Ensure that all DoD personnel and family members (dependents) scheduled for permanent change of station to foreign countries receive applicable or required training in accordance with reference (c).

5.9.8. Provide prompt dissemination of information on terrorist threats, including specific warning of threats against DoD elements and personnel in accordance with DoD Directive 5240.1 and, DoD 5240.1-R (references (t) and (u)), and the "No Double Standard" policy.

5.9.9. In coordination with the Chairman of the Joint Chiefs of Staff, the Commanders of the Combatant Commands, and the Directors of Defense Agencies, address AT/FP considerations in recommending tour lengths and determine whether restrictions should be placed on accompanying family members (dependents) for personnel assigned to overseas activities. Submit recommendations to the ASD(FMP).

5.9.10. Ensure that current AT/FP technology and security requirements are incorporated into all new contracts, where applicable.

5.9.11. Establish military construction programming policies to ensure that AT/FP protective features for facilities and installations are included in the planning, design, and execution of military and minor construction projects.

5.9.12. Ensure that all Service installations and activities are assessed, in accordance with DoD Instruction O-2000.16 (reference (c)). Ensure that installations develop, maintain, and implement AT/FP plans and programs that incorporate measures in concert with Service, CINC, and DoD standards.

5.9.13. Identify the resources programed to implement and maintain the AT/FP program for all Service components as part of the PPBS process.

5.9.14. Provide a Military Service representative as a member to the DoD ATCC (and Subcommittees, as required) under enclosure 3, and a representative to the DoD Worldwide AT Conference.

5.9.15. Ensure that Service component capabilities exist to collect, receive, evaluate, analyze, and disseminate all relevant data on terrorist activities, trends, and indicators of imminent attack.

5.10. The Chairman of the Joint Chiefs of Staff shall:

5.10.1. Serve as the principal advisor and focal point to the Secretary of Defense for all DoD AT/FP issues.

5.10.2. Prepare joint doctrine and assist ASD(SO/LIC) in development and maintenance of AT/FP standards. Review Service doctrine and CINC, Service, and Defense Agency standards. Review, coordinate, and oversee for the Secretary of Defense and in conjunction with the DoD Components, the AT/FP training for all DoD personnel and their family members (dependents).

5.10.3. Direct the Joint Requirement Oversight Council (JROC) to address AT/FP requirements. Ensure the Chairman's Program Review (CPR) and the Chairman's Program Analysis (CPA) include a summary of AT/FP requirements as determined by the JROC and derived from CINC Integrated Priority Lists.

5.10.4. Assess AT/FP as an element of any force deployment decision. Periodically reassess AT/FP of deployed forces.

5.10.5. Assess with the DoD Components their policies and programs for the

protection of DoD elements and personnel, in compliance with this Directive and in accordance with DoD Instruction O-2000.16 (reference (c)).

5.10.6. Annually, as part of the DoD program and PPBS cycle, review the adequacy of resources proposed by the Services to determine whether they meet DoD AT/FP objectives and support the CINCs' AT/FP programs. This review will be done in conjunction with other OSD staff elements having resource and program oversight responsibilities for the functional areas that comprise the AT/FP budget aggregate. Advise the Secretary of Defense of any changes that are needed to meet AT/FP requirements.

5.10.7. In coordination with the Secretaries of the Military Departments, the Directors of Defense Agencies, and the Commanders of the Combatant Commands, address AT/FP considerations and recommend applicable tour lengths. Advise the Secretary of Defense whether restrictions should be placed on accompanying family members (dependents) for personnel assigned to overseas activities. Submit recommendations to the ASD(FMP).

5.10.8. Review the impact of this Directive on the Unified Command Plan (reference (w)), issued by the President, and the Secretary's "Forces for Unified Commands" Memorandum (reference (x)). Recommend revisions to these plans or this Directive, as required. Review the CINCs' joint operation plans (OPLANS, CONPLANS, and functional plans) deployment orders and other relevant documents for AT/FP considerations.

5.10.9. Assess the implementation of threat conditions (THREATCONs) for uniform implementation and dissemination as specified by DoD Instruction O-2000.16 and DoD O-2000.12H (references (c) and (d)), and this Directive.

5.10.10. Provide representatives to the Deputies Committee, the Coordinating SubGroup for Terrorism (CSG), the DoD Worldwide AT Conference, the DoD ATCC (and Subcommittees as required) under enclosure 3, and an observer to the OSPB. Appoint the Director for Operations, Joint Staff (J-3) to co-chair the ATCC-SSG and the Deputy Director for Operations (Combating Terrorism), the Joint Staff (J-34) to co-chair the ATCC under enclosure 3.

5.10.11. Coordinate with the ASD (C3I) and the ASD (SO/LIC) on sharing of terrorism intelligence and counterintelligence data and information on AT/FP. This includes threats posed to DoD personnel and assets by domestic and foreign terrorists.

5.10.12. Assess the capability of the Services, CINCs, and the Defense intelligence and security organizations to collect, evaluate, and disseminate all relevant data on terrorist activities, trends, and indicators of imminent attack.

5.10.13. In coordination with the ASD(SO/LIC) manage and administer the DoD CbT-RIF.

5.11. The Commanders of the Combatant Commands with geographic responsibilities shall:

5.11.1. Establish command policies and AT/FP programs for the protection of:

5.11.1.1. All assigned forces in the AOR.

5.11.1.2. All assigned forces conducting operations, exercises or training in countries not assigned to a geographic CINC but for whom the CINC has OPCON.

5.11.1.3. DoD elements and personnel for whom the CINC assumes force protection responsibility IAW a CINC/COM Memorandum of Agreement (MOA) under the DoD/DoS MOU (reference (h)).

5.11.2. Ensure that this program includes family members (dependents), resources, and facilities. This program shall include specific prescriptive standards derived from DoD Instruction O-2000.16 (reference (c)) that address specific terrorist threat capabilities and geographic settings.

5.11.3. In accordance with the delegation of authority from the Secretary of Defense to the CINC (pursuant to reference (h)), and in addition to the CINC's normal exercise of COCOM and OPCON over assigned forces, the CINC shall exercise TACON (for force protection) over all DoD personnel (including their dependents), except those for whom the COM retains security responsibility. The CINC's exercise of TACON (for force protection) as contained in reference (v) applies to all DoD personnel assigned to, temporarily assigned to, or transiting through, or training in the CINC's AOR. TACON (for force protection) enables the CINC to order implementation of force protection measures and to exercise the security responsibilities outlined in any MOA concluded under the terms of reference (h). TACON (for force protection) authorizes the CINC to change, modify, prescribe, and enforce force protection measures for covered forces. Directives from CINCs having

TACON (for force protection) should consider the worldwide mission of those commanders with global responsibilities.

5.11.4. Coordinate with the COMs in the AOR to identify all non-CINC assigned forces. In instances where force protection may be more effectively provided through the CINC, establish country-specific MOAs (under the auspices of reference (h)).

5.11.5. Assess and review the AT/FP programs of all CINC-assigned military forces and/or activities in the AOR. Also assess the AT/FP programs of all DoD forces and/or activities performing in their AOR that are not under the security responsibility of a COM. Service component commands or other subordinate commands reporting to the CINC may conduct this assessment. Relocate forces as necessary and report to the Secretary of Defense through the Chairman of the Joint Chiefs of Staff pertinent actions taken for force protection.

5.11.6. In coordination with the COM, review the AT/FP status of all DoD activities and personnel under the force protection responsibility of a COM in the AOR.

5.11.7. Consistent with DoD Directive 5210.84 (reference (g)) and the MOU (reference (h)), serve as the DoD point of contact with host-nation officials on matters involving AT/FP policies and measures.

5.11.8. Provide updates to DoD Directive 4500.54 (reference (m)) stating command travel requirements and theater entry requirements.

5.11.9. Ensure all assigned personnel receive applicable AT/FP training, IAW reference (c). Ensure that personnel traveling comply with reference (o). Ensure that personnel are aware of any TSAs in effect at the time of travel. Ensure that all DoD personnel and family members (dependents) scheduled for permanent change of station to foreign countries receive appropriate and required training IAW reference (c).

5.11.10. In coordination with the Secretaries of the Military Departments, the Chairman of the Joint Chiefs of Staff, and the Directors of the Defense Agencies, address AT/FP considerations in establishing tour lengths and determine whether restrictions should be placed on accompanying family members (dependents) for personnel assigned to overseas activities. Submit recommendations to the ASD(FMP).

5.11.11. Identify the requirements necessary to achieve the AT/FP for each activity under the Commander of a Combatant Command or for which that Commander has AT/FP responsibility. Work with Service Component Executive Agents to ensure that resource requirements to implement the AT/FP programs are identified and programed in accordance with PPBS procedures.

5.11.12. Establish command relationships and policies for each subordinate command to ensure that effective mechanisms are in place to protect and defend against terrorist attack. Periodically reassess the appropriateness of JTF command relationships to ensure that adequate AT/FP measures are in place.

5.11.13. Identify and disseminate to the force providers' specific area pre-deployment training requirements that all personnel must complete before arrival in theater. Provide training requirements to Services and Agencies for all DoD personnel and family members (dependents) scheduled for permanent change of station to the theater. Ensure that all personnel assigned to the headquarters receive appropriate AT/FP training.

5.11.14. Assess the terrorist threat for the theater according to this Directive, and provide threat assessment information to the Service components and the Defense Agencies in theater. On the basis of the threat assessment, identify and designate incumbents of high-risk billets and spouses requiring AT resident training. Ensure that AT resident training is provided to personnel assigned to high-risk billets and others, as applicable.

5.11.15. Keep subordinate commanders informed of the nature and degree of the threat. Ensure that commanders are prepared to respond to changes in threats and/or local security circumstances. Ensure that the COMs are fully and currently informed of any threat information relating to the security of those DoD elements and personnel under the security responsibility, but not the command, of the CINC.

5.11.16. Ensure compliance with the "No Double Standard" policy.

5.11.17. Ensure that THREATCONs are uniformly implemented and disseminated as specified by this Directive, DoD O-2000.12H, and DoD Instruction O-2000.16 (references (d) and (c)).

5.11.18. Provide a representative to the DoD ATCC and Subcommittees under enclosure 3, as required, and to the DoD Worldwide AT Conference.

5.11.19. Ensure that a capability exists to collect, evaluate, and disseminate all relevant data on terrorist activities, trends, and indicators of imminent attack.

5.11.20. For emergent, unforeseen AT/FP requirements that Services cannot fund, forward requirements for submission to the Chairman of the Joint Chiefs of Staff CbT-RIF.

5.12. Commanders of the Combatant Commands with functional responsibilities shall:

5.12.1. Establish command policies and AT/FP programs for the protection of all assigned forces. Coordinate that program with the appropriate CINC/COM for the geographic area, and as applicable with the Services for the 50 United States and its territories or possessions.

5.12.2. Coordinate with the Services and geographic CINCs to ensure adequate force protection of CINC-assigned forces (through references (w) and (x)) in the 50 United States and its territories or possessions.

5.12.3. Ensure that subordinate elements, which are tenant units at Service installations, coordinate their AT/FP programs and requirements with the installation commander. Differences shall be resolved through the applicable CINC and Service chain of command.

5.12.4. Ensure that all facilities are assessed in coordination with the geographic CINCs, Services, and Defense Agencies.

5.12.5. Ensure that all personnel assigned to the headquarters receive applicable AT/FP training.

5.12.6. Identify and designate incumbents of high-risk billets and spouses requiring AT resident training. Provide AT resident training to personnel assigned to high-risk billets and others, as applicable.

5.12.7. For emergent, unforeseen AT/FP requirements that Services cannot fund, forward requirements for submission to the Chairman of the Joint Chiefs of Staff CbT-RIF.

5.12.8. Provide a representative to the DoD ATCC and Subcommittees under enclosure 3, as required, and to the DoD Worldwide AT Conference.

5.12.9. Identify the requirements necessary to achieve the AT/FP for each activity under the Commander of a Combatant Command and work with their Service Component Executive Agent to ensure that resource requirements to implement the AT/FP Programs are identified and programed in accordance with PPBS procedures.

5.13. The Directors of the Defense Agencies and the DoD Field Activities, the OSD Principal Staff Assistants' and those that report directly to the Secretary or Deputy Secretary of Defense, shall:

5.13.1. Use references (c) and (d) for the AT/FP planning and execution for their headquarters and all activities under their cognizance: consider mission, characteristics of the activity, geographic location, and threat condition. Establish prescriptive standards for installations and facilities not located on Service installations. As applicable, coordinate with the applicable CINC or Service.

5.13.2. Institute an AT/FP training program in accordance with reference (c). Ensure that all assigned personnel comply with reference (m). Ensure that personnel are aware of any travel security advisories in effect at the time of travel. Ensure that all DoD personnel and family members (dependents) scheduled for permanent change of station to foreign countries receive applicable or required training in accordance with reference (c).

5.13.3. Provide members to the DoD ATCC (and Subcommittees as required) under enclosure 3, and representatives to the DoD Worldwide AT Conference.

5.13.4. Identify to the Secretary of Defense, with an information copy to the Chairman of the Joint Chiefs of Staff, the resources required to implement and maintain AT/FP force protection for their respective offices and personnel. Include resource requirements necessary to implement and maintain AT/FP for their organizations in POM and budget submissions.

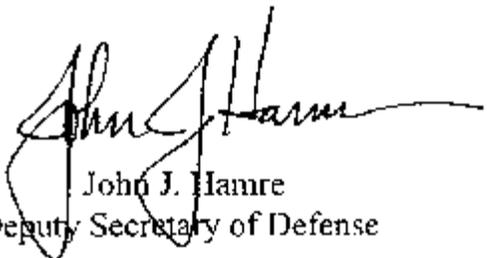
5.13.5. Identify and designate incumbents of high-risk billets and spouses requiring AT resident training. Ensure that AT resident training is provided to personnel assigned to high-risk billets and others, as applicable.

5.13.6. Ensure that current AT/FP technology and security requirements are incorporated into all new contracts, where appropriate.

5.13.7. Establish military construction programming policies to ensure that AT/FP protective features for facilities and installations are included in the planning, design, and execution of military and minor construction projects.

6. EFFECTIVE DATE

This Directive is effective immediately.



John J. Hamre
Deputy Secretary of Defense

Enclosures - 5

- E1. References, continued
- E2. Definitions
- E3. ATCC and ATCC-SSG
- E4. DIA AT/FP Responsibilities under ASD(C3I) Purview
- E5. NSA AT/FP Responsibilities under ASD(C3I) Purview

E1. ENCLOSURE 1

REFERENCES, continued

- (e) DoD 5025.1-M, "DoD Directives System Procedures," August 1994, authorized by [DoD Directive 5025.1](#), June 24, 1994
- (f) Sections 4801 - 4805 of title 22, United States Code
- (g) DoD Directive 5210.84, "Security of DoD Personnel at U.S. Missions Abroad," January 22, 1992
- (h) Department of Defense and Department of State Memorandum of Understanding on Security of DoD Elements and Personnel in Foreign Areas, December 16, 1997
- (i) [DoD Directive 3025.15](#), "Military Assistance to Civil Authorities," February 18, 1997
- (j) [DoD Directive 1300.7](#), "Training and Education Measures Necessary to Support the Code of Conduct," December 23, 1988
- (k) [DoD Directive 1235.10](#), "Activation, Mobilization, and Demobilization of the Ready Reserve," July 1, 1995
- (l) [DoD Instruction 1235.12](#), "Accessing the Ready Reserves," January 19, 1996
- (m) DoD Directive 4500.54, "Official Temporary Duty Travel Abroad," May 1, 1991
- (n) Defense Federal Acquisition Regulation Supplement, current edition
- (o) DoD Directive 5240.2, "DoD Counterintelligence," May 22, 1997
- (p) [DoD Directive 5148.11](#), "Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO))," July 1, 1994
- (q) [DoD Directive 5525.5](#), "DoD Cooperation with Law Enforcement Officials", January 15, 1986
- (r) [DoD Directive 5100.1](#), "Functions of the Department of Defense and its Major Components," September 25, 1987
- (s) [DoD Directive 5100.3](#), "Support of the Headquarters of Unified, Specified, and Subordinate Joint Commands," November 1, 1988
- (t) [DoD Directive 5240.1](#), "DoD Intelligence Activities," April 25, 1988
- (u) DoD 5240.1-R, "Procedures Governing the Activities of DoD Intelligence Components That Affect United States Persons," December 1982, authorized by [DoD Directive 5240.1](#), April 25, 1988
- (v) SECDEF memorandum to Combatant Commanders, "Delegation of Outside Continental United States force Protection responsibility and Authority to Geographic Commanders," September 28, 1998
- (w) "Unified Command Plan," current edition
- (x) "Forces for Unified Commands," current edition.

- (y) Joint Pub 1-02, "Department of Defense Dictionary of Military and Associated Terms," March 23, 1994
- (z) DoD Instruction 3020.37, "Continuation of Essential DoD Contractor Services During Crisis," November 6, 1990
- (aa) DoD Instruction 1400.32, "DoD Civilian Work Force Contingency and Emergency Planning Guidelines and Procedures," April 24, 1995
- (bb) Section 1072(2) of title 10, United States Code
- (cc) PDD 29, "Security Policy Coordination," September 16, 1994
- (dd) PDD 39, "U.S. Policy on Counterterrorism," June 21, 1995

E2. ENCLOSURE 2

DEFINITIONS

E2.1.1. Antiterrorism (AT). Defensive measures used to reduce the vulnerability of individuals and property to terrorist acts, to include limited response and containment by local military forces (Joint Pub 1-02 (reference (y))).

E2.1.2. AT Awareness. Fundamental knowledge of the terrorist threat and measures to reduce personal vulnerability to terrorism (reference (y)).

E2.1.3. AT Resident Training. Formal classroom instruction in designated DoD courses that provide specialized instruction on specific combating terrorism topics; i.e., personal protection, terrorism analysis, regional interest, and AT/FP planning.

E2.1.4. Combating Terrorism (CbT). Actions, including antiterrorism (defensive measures taken to reduce vulnerability to terrorist acts) and counterterrorism (offensive measures taken to prevent, deter, and respond to terrorism), taken to oppose terrorism throughout the entire threat spectrum (reference (y)).

E2.1.5. Counterintelligence (CI). Information gathered and activities conducted to protect against espionage, other intelligence activities, sabotage or assassinations conducted by or on behalf of foreign governments or elements thereof, foreign organizations, or foreign persons, or international terrorist activities (reference (y)).

E2.1.6. Counterterrorism (CT). Offensive measures taken to prevent, deter, and respond to terrorism (reference (y)).

E2.1.7. DoD Civilian Work Force. U.S. citizens or foreign nationals working for the Department of Defense, paid from appropriated or nonappropriated funds under permanent or temporary appointment. This includes employees filling full-time, part-time, intermittent, or on-call positions. Specifically excluded are all Government contractor employees. Contingency and emergency planning for contractor employees is covered by DoD Instructions 3020.37 and 1400.32 (references (z) and (aa)).

E2.1.8. Defense Contractor. Any individual, firm, corporation, partnership, association, or other legal non-Federal entity that enters into a contract directly with the Department of Defense to furnish services, supplies, or both, including construction. Thus, Defense contractors may include U.S. nationals, local citizens, or third country nationals. Defense contractors do not include foreign governments or

representatives of foreign governments that are engaged in selling to the Department of Defense or a DoD Component or foreign corporations wholly-owned by foreign governments.

E2.1.9. DoD-Designated High Physical Threat Countries. Countries determined to be of significant terrorist threat to DoD travelers, as designated by the ASD(SO/LIC), in coordination with the Assistant Secretary of Defense for International Security Affairs (ASD(ISA)) and the Assistant Secretary of Defense for International Security Policy (ASD(ISP)).

E2.1.10. DoD-Designated Potential Physical Threat Countries. Countries determined to be of potential terrorist threat to DoD travelers, as designated by the ASD(SO/LIC), in coordination with the ASD(ISA) and ASD(ISP).

E2.1.11. Domestic Terrorism. Terrorism perpetrated by the citizens of one country against fellow countrymen. This includes acts against citizens of a second country when they are in the host country, and not the principal or intended target.

E2.1.12. Family Member. "Dependent," as defined in 10 U.S.C. (reference (bb)), spouse; unmarried widow; unmarried widower; unmarried legitimate child, including adopted child or stepchild (over 18 but under 21), incapable of self support or under 23 and enrolled in a full-time institution.

E2.1.13. Force Protection (FP). Security program developed to protect Service members, civilian employees, family members, facilities and equipment, in all locations and situations, accomplished through the planned and integrated application of combating terrorism, physical security, operations security, personal protective services supported by intelligence, counterintelligence, and other security programs (reference (y)).

E2.1.14. High-Risk Billet. Authorized personnel billet (identified and recommended by appropriate authority) that because of grade, assignment, travel itinerary, or symbolic value may make a person filling it an especially attractive or accessible terrorist target.

E2.1.15. High-Risk Personnel. Personnel who, by their grade, assignment, symbolic value or relative isolation, are likely to be attractive or accessible terrorist targets (reference (y)).

E2.1.16. Military Service. A branch of the Armed Forces of the United States, established by act of Congress, in which persons are appointed, enlisted, or inducted

for military service, and which operates and is administered within a Military or Executive Department. The Military Services are the United States Army, United States Navy, United States Air Force, United States Marine Corps, and the United States Coast Guard (reference (y)).

E2.1.17. No Double Standard Policy. Commanders may immediately disseminate to DoD personnel and facilities information on specific terrorist threats directed against DoD personnel and facilities. However, it is the policy of the United States Government that no double standard regarding availability of information will exist. Official Americans cannot benefit from receipt of information that might equally apply to the public, but is not available to the public. Responsibility for the release of threat information to the public in CONUS remains with the FBI and overseas with the Department of State. Threats directed against or affecting the American public, or against events/locales visited/utilized by the American public, will be coordinated with the FBI or DoS, as appropriate, prior to release. This policy applies only when the information available is sufficient for DoD activities to conclude that an act of terrorism will occur and to predict, with reasonable accuracy, the time, place, mode of the attack, and, if possible, the perpetrators. When such specificity exists, but it is impossible to determine that only Government targets might be affected, it is DoD policy that the reporting entity unilaterally disseminating the information will include both DoS and the AMEMBASSY or AMEMBASSIES concerned, on the message or correspondence. The "No Double Standard" requirement for commanders at all levels is simple: keep either the American Embassy or the local office of the FBI informed of your threat levels and threat conditions. This can be accomplished through direct liaison if authorized or through the CINC via the chain of command.

E2.1.18. Operational Control (OPCON). Transferable command authority that may be exercised by commanders at any echelon at or below the level of combatant command. Operational control is inherent in Combatant Command (command authority) and is the authority to perform those functions of command over subordinate forces involving organizing and employing commands or forces, assigning tasks, designating objectives, and giving authoritative direction necessary to accomplish the mission. Operational control includes authoritative direction over all aspects of military operation and joint training necessary to accomplish missions assigned to the command. (Operational Control is considered to include authoritative direction over force protection) (reference (y)).

E2.1.19. Overseas Security Advisory Council (OSAC). OSAC was established by the Department of State in 1985 to foster the exchange of information between

American companies with overseas operations and the U.S. Government. Government and business representatives have joined to use OSAC as a forum to produce a series of publications providing guidance, suggestions, and planning techniques on a variety of security-related issues, including terrorism.

E2.1.20. Overseas Security Policy Board (OSPB). OSPB is a National Security Council body established by Presidential Decision Directive 29 (reference (cc)). Its purpose is to consider, develop, coordinate, and promote security policies, standards, and agreements on overseas security operations, programs and projects that affect all U.S. Government Agencies under the authority of a U.S. Chief of Mission abroad. OSPB is chaired by the Department of State Director for Diplomatic Security.

E2.1.21. Reserve Components (RC). The RC of the Armed Forces of the United States are those Reserve members, units, and full-time support personnel of the Army National Guard of the United States, the Army Reserve, the Naval Reserve, the Marine Corps Reserve, the Air National Guard of the United States, the Air Force Reserve, and during time of war when directed by the President, the Coast Guard Reserve. Within each RC, a Reserve member is placed in one of three Reserve categories: Ready Reserve, Standby Reserve, or Retired Reserve.

E2.1.22. Tactical Control (TACON). The detailed and, usually, local direction and control of movements or maneuvers necessary to accomplish mission or tasks assigned (reference (y)).

E2.1.23. TACON (for force protection). Enables the CINC to order implementation of force protection measures and to exercise the security responsibilities outlined in any respective Memorandum of Agreement (MOA) concluded under the December 1997 Department of State/Department of Defense Memorandum of Understanding on the Security of DoD Elements and Personnel in Foreign Areas (known as the Universal MOU). Further TACON (for force protection) authorizes the CINC to change, modify, prescribe, and enforce force protection measures for covered forces. This relationship includes the authority to inspect and assess security requirements and direct DoD activities to identify the resources required to correct deficiencies and to submit budget requests to parent organizations to fund identified corrections. The CINC can also direct immediate force protection measures (including temporary relocation and departure) when, in his judgment, such measures must be accomplished without delay to ensure the safety of the DoD personnel involved.

E2.1.24. Terrorism. The calculated use of violence or threat of violence to

inculcate fear; intended to coerce or to intimidate governments or societies in the pursuit of goals that are generally political, religious, or ideological (reference (y)).

E2.1.25. Terrorist Threat Condition (THREATCON) System. A Chairman of the Joint Chiefs of Staff-approved program standardizing the Military Services' identification of and recommended responses to terrorist threats against U.S. personnel and facilities. This program facilitates inter-Service coordination and support for antiterrorism activities (reference (y)).

E3. ENCLOSURE 3

ANTITERRORISM COORDINATING COMMITTEE (ATCC) AND SENIOR STEERING GROUP (ATCC-SSG)

All Federal Agencies are mandated by PDD-39 (reference (dd)) to take any steps necessary to reduce vulnerabilities to terrorist attacks. The ATCC and ATCC-SSG were established to meet this requirement and, in support of the Secretary of Defense and the Chairman of the Joint Chiefs of Staff, to foster cooperation and coordination for antiterrorism and force protection activities within the Department of Defense and between the Department of Defense and other U.S. Government Agencies and Organizations.

E3.1. Purpose

E3.1.1. To enable expeditious resolution of AT/FP issues.

E3.1.2. To serve as a forum for the exchange of AT/FP information to assist DoD staff elements with their oversight roles.

E3.1.3. Act as a clearinghouse for policy recommendations to the Secretary of Defense concerning protection of DoD personnel and their family members, facilities, and other material resources from terrorist acts.

E3.1.4. Facilitate coordinating AT/FP actions and taskings.

E3.1.5. Review AT/FP reports to the Secretary of Defense on the status of AT/FP activities undertaken in support of this Directive.

E3.2. Leadership

E3.2.1. The ASD(SO/LIC) shall:

E3.2.1.1. Co-chair the ATCC-SSG.

E3.2.1.2. Appoint the Deputy Assistant Secretary of Defense for Policy and Mission (DASD(P&M)) to Co-chair the ATCC.

E3.2.2. The Chairman of the Joint Chiefs of Staff shall:

E3.2.2.1. Appoint the Director for Operations, Joint Staff (J3) to Co-chair the ATCC-SSG.

E3.2.2.2. Appoint the Deputy Director for Operations (Combating Terrorism), Joint Staff (J34) to Co-chair the ATCC.

E3.3. Membership

E3.3.1. Membership in the ATCC-SSG shall consist of the following principals: the Secretaries of the Military Departments, the USD(A&T), the USD(C), the USD(P), the DoD GC, the DoD IG, the ASD(C3I), the ASD(FMP), the ASD(LA), the ASD(PA), the ASD(SO/LIC), the Director, PA&E, the J3, the Director of the DIA, the Director of the DLA, the Director of the DSCA, and the CMC.

E3.3.2. Membership in the ATCC shall consist of one representative from each office as identified by the ATCC-SSG principals listed in subparagraph E3.3.1., above, and representatives from the Combatant Commands. Observers from other OSD offices may be authorized on an as required basis.

E3.4. Subcommittees

E3.4.1. Subcommittees will be chaired by the office of primary responsibility (OPR) for the particular AT/FP activity being addressed, and will consist of representatives from those offices that have clear responsibilities.

E3.4.2. Standing Subcommittees are Intelligence; Doctrine and Training; Operations and Overseas Security; Requirements, Programs, and Budget; Technology; and Congressional Liaison. Other Subcommittees may be established as needed.

E4. ENCLOSURE 4

DEFENSE INTELLIGENCE AGENCY AT/FP RESPONSIBILITIES
UNDER ASD(C3I) PURVIEW

E4.1.1. Provide prompt dissemination of intelligence information on foreign terrorist threats, including specific warning of threats against DoD personnel and their family members, facilities, and other DoD material resources, in accordance with DoD Directive 5240.1 and DoD 5240.1-R (references (t) and (u)). Warnings to DoD personnel and their family members will be in compliance with the "No Double Standard" policy as defined in enclosure 2. The DIA is the focal point within the Department of Defense for data and information pertaining to domestic and foreign terrorist threats to DoD personnel (excluding threats posed by US persons who have no discernable foreign control or connections).

E4.1.2. Operate a 24-hour terrorism intelligence and warning watch in the National Military Joint Intelligence Center. Provide prompt dissemination on terrorist threat.

E4.1.3. Represent DoD in the national intelligence terrorism warning process.

E4.1.4. Maintain a terrorism database, which includes information on foreign group capabilities, facilities, incidents, biographies, and foreign counterterrorism policies and response capabilities.

E4.1.5. Subject to the provisions of references (t) and (u), assess the terrorist threat worldwide, ensure dissemination to DoD elements, and produce daily terrorist threat awareness reports.

E4.1.6. Provide a member to the DoD ATCC and Subcommittees, as required, and a representative to the DoD Worldwide AT Conference.

E4.1.7. Function as the Executive Agent for diplomatic security matters, pursuant to DoD Instruction 5210.84 (reference (g)).

E4.1.8. Provide the DoD member to the OSPB.

E4.1.9. Provide security vulnerability assessments for Defense Attaché offices on a routine and emergency basis including a quarterly update to the geographic CINCs on trend items and lessons learned.

E4.1.10. Maintain a centralized database of all AT/FP vulnerability assessments conducted concerning noncombatant DoD elements under U.S. Chief of Mission security responsibility.

E4.1.11. In coordination with the cognizant CINC and DoS personnel, ensure that the appropriate reference is used to plan and execute AT/FP for all DIA activities.

E4.1.12. Ensure DIA personnel are aware of DoD travel security policy and required actions for traveler en route to, or through, DoD-designated high potential physical threat countries.

E4.1.13. Ensure DIA personnel assigned to high-risk billets and to others, as recommended by appropriate authority to the Military Services, receive appropriate AT/FP training.

E4.1.14. Provide Combatant Commanders with tailored analytical products, studies, and analysis pertaining to foreign terrorist threats to DoD personnel and resources.

E4.1.15. Set DoD terrorist threat levels by country.

E4.1.16. Attend CSG meetings pertaining to terrorism, in conjunction with ASD(SO/LIC).

E5. ENCLOSURE 5

NATIONAL SECURITY AGENCY RESPONSIBILITIES

E5.1.1. Disseminate, on a timely basis to the intelligence community and the Department of Defense, signals intelligence (SIGINT) reports on foreign terrorist threat against U.S. interests globally. Ensure compliance with the "No Double Standard" policy.

E5.1.2. Operate a 24-hour foreign terrorism desk within the National Security Operations Center (NSCO). This desk will provide cryptologic support to combat terrorism.

E5.1.3. Maintain a foreign terrorism communication profile database, which will include foreign terrorist group communications systems and foreign terrorist group profiles based on SIGINT.

E5.1.4. Produce and coordinate SIGINT-based foreign terrorist threat warnings.

E5.1.5. Through the NSA Terrorism Customer Center (W9B), serve as the focal point for coordination of foreign terrorist issues with DIA and the Department of Defense.

E5.1.6. Provide AT/FP SIGINT support to major U.S. deployments, as required.

E5.1.7. Provide a representative to the OSPB.

E5.1.8. Provide defensive travel briefings, when appropriate, to affiliates who have been approved for foreign travel.